BEFORE SUBMITTING YOUR BID

- 1. Use pen and ink to complete the Bid.
- 2. Have you signed and completed the Contract Agreement, Offer & Award Forms?
- 3. As a minimum, the Bidder will submit a Bid Package consisting of the Notice to Contractors, the completed Acknowledgement of Bid Amendments & Submission of Bid Bond Validation Number form, the completed Schedule of Items, 2 copies of the completed Agreement, Offer, & Award form, a Bid Bond or Bid Guarantee, and any other Certifications or Bid Requirements listed in the Bid Book.
- 4. Have you included prices for all Bid Items? ("Zero is not considered a bid price.")
- 5. Have you included a bid guarantee? Acceptable forms are:
 - A. Bid Bond on the Department's prescribed form for 5% of the Bid Amount. (Or forms that do not contain any significant variations from the Department's forms as solely determined by the Department.)
 - B. Official Bank Check, Cashier's Check, Certified Check, U.S. Postal Money Order or Negotiable Certificate of Deposit in the amount stated in the Notice to Contractors.
- 6. If the written Bid is to be sent, Federal Express overnight delivery is suggested as the package is delivered directly to the DOT Headquarters Building in Winthrop. Other means, such as U.S. Postal Services' Express Mail has proven not to be reliable.

AND FOR FEDERAL AID PROJECTS

7. Have you included your DBE Utilization commitment in the proper amounts and signed the DBE Certification?

If you need further information regarding Bid preparation, call the DOT Contracts Section at (207)624-3430.

For complete specifications regarding bidding requirements, refer to Section 102 of the Maine Department of Transportation, Standard Specifications, Revision December 2002.

NOTICE

The Maine Department of Transportation is attempting to improve the way Bid Amendments/Addendums are handled, and allow for an electronic downloading of bid packages from our website, while continuing to maintain a planholders list.

Prospective bidders, subcontractors or suppliers who wish to download a copy of the bid package and receive a courtesy notification of project specific bid amendments, must provide an email address to Diane Barnes at the MDOT Contracts mailbox at:

MDOT.contracts@maine.gov. Each bid package will require a separate request. Please provide us an email address, so we can maintain the planholders list that both the industry and MDOT uses.

Additionally, the new Acknowledgement of Bid Amendment form will be placed in MDOT bid packages beginning with the 2/12/03 advertisements. After that date, interested parties will be responsible for reviewing and retrieving the Bid Amendments from our web site, and acknowledging receipt and incorporating those Bid Amendments in their bids.

The downloading of bid packages from the MDOT website is <u>not</u> the same as providing an electronic bid to the Department. Electronic bids must be submitted via http://www.BIDX.com. For information on electronic bidding contract Rebecca Pooler at rebecca.pooler@maine.gov.

STATE OF MAINE DEPARTMENT OF TRANSPORTATION

Bid Guaranty-Bid Bond Form

KNOW ALL MEN BY THESE PRESEN	NTS THAT	
, of the	: City/Town of	and State of
as Principal, and		as Surety, a
Corporation duly organized under the laws	of the State of	and having a usual place of
Business in	and hereby held	and firmly bound unto the Treasurer of
the State of Maine in the sum of		ayment which Principal and Surety bind
themselves, their heirs, executers, administ		
The condition of this obligation is that the	Principal has submi	itted to the Maine Department of
Transportation, hereafter Department, a cer	rtain bid, attached h	nereto and incorporated as a
part herein, to enter into a written contract	for the construction	ı of
	and if the	he Department shall accept said bid
and the Principal shall execute and deliver	a contract in the for	rm attached hereto (properly
completed in accordance with said bid) and	l shall furnish bond	s for this faithful performance of
said contract, and for the payment of all pe	rsons performing la	ubor or furnishing material in
connection therewith, and shall in all other	respects perform th	ne agreement created by the
acceptance of said bid, then this obligation	shall be null and ve	oid; otherwise it shall remain in full
force, and effect.		
Signed	and sealed this	day of20
WITNESS:		PRINCIPAL:
		By
		By:
		By:
WITNESS		SURETY: By
		Ву:
	_	Name of Local Agency:

NOTICE

For security and other reasons, all Bid Packages which are mailed, shall be provided in double (one envelope inside the other) envelopes. The *Inner Envelope* shall have the following information provided on it:

Bid Enclosed - Do Not Open

PIN:

Town:

Date of Bid Opening:

Name of Contractor with mailing address and telephone number:

In Addition to the usual address information, the *Outer Envelope* should have written or typed on it:

Double Envelope: Bid Enclosed

PIN:

Town:

Date of Bid Opening:

Name of Contractor:

This should not be much of a change for those of you who use Federal Express or similar services.

Hand-carried Bids may be in one envelope as before, and should be marked with the following infrormation:

Bid Enclosed: Do Not Open

PIN:

Town:

Name of Contractor:

INSTRUCTIONS FOR PREPARING THE CONTRACTOR'S DISADVANTAGED BUSINESS ENTERPRISE UTILIZATION PLAN

The Contractor Shall:

- 1. Submit a completed <u>Contractor's Disadvantaged Business</u> <u>Enterprise Utilization Plan</u> to the Contract's Engineer by 4:30 P.M. on the Bid day.
- 2. Extend equal opportunity to MDOT certified DBE firms (as listed in MDOT's DBE Directory of Certified Businesses) in the selection and utilization of Subcontractors and Suppliers.

SPECIFIC INSTRUCTIONS FOR COMPLETING THE FORM:

Insert Contractor name, the name of the person(s) preparing the form, and that person(s) telephone and fax number.

Provide total Bid price, Federal Project Identification Number, and location of the Project work.

In the columns, name each DBE firm to be used, provide the Unit or Item cost of the Work/Product to be provided by the DBE firm, give a brief description of the Work, and the dollar value of the Work.

If no DBE firm is to be utilized, the Contractor must document the reason(s) why no DBE firms are being used. Specific supporting evidence of good faith efforts taken by Contractors to solicit DBE Bidders must be attached. This evidence, as a minimum, includes phone logs, e-mail and/or mail DBE solicitation records, and the documented results of these solicitations.

NOTICE

The Department has revised the <u>Disadvantaged Business</u> <u>Enterprise Proposed Utilization</u> form and the procedure that has been used for the past several months for Contractors to submit the form.

The Apparent Low Bidder now must submit the form by close of Business (4:30 P.M.) on Bid day.

The new <u>Contractor's Disadvantaged Business Enterprise</u> <u>Proposed Utilization Plan</u> form contains additional information that is required by USDOT.

The <u>Disadvantaged Business Enterprise Proposed Utilization</u>
<u>Plan</u> form will no longer be used. The new <u>Contractor's</u>
<u>Disadvantaged Business Enterprise Proposed Utilization Plan</u>
form must be used.

A copy of the new <u>Contractor's Disadvantaged Business</u> <u>Enterprise Proposed Utilization Plan</u> and instructions for completing it are attached.

Note: Questions about DBE firms, or to obtain a printed copy of the DBE Directory, contact Equal Opportunity at (207) 624-3066.

MDOTs DBE Directory of Certified firms can also be obtained at http://www.state.me.us/mdot/humnres/o_equalo/cdwbed_h.htm

NOTICE

Bidders:

Please use the attached "Request for Information" form when faxing questions and comments concerning specific Contracts that have been Advertised for Bid. Include additional numbered pages as required.

State of Maine Department of Transportation

REQUEST FOR INFORMATION

Date _		Time	
Information Requested:	PIN:		
		Phone: ()	
		the number listed in the Notice	
Response:			
Response By:		Date:	

CONTRACTOR'S DISADVANTAGED BUSINESS ENTERPRISE PROPOSED UTILIZATION PLAN

Low Bidder shall furnish completed form to Contracts Section by 4:30 P.M. on Bid Opening day.

то:	MDOT Contract 16 State House Augusta, Me 04 or Fax: 207-624-34	e Station, 4333-0016	Prepared by:			Contractor: Prepared by: Fax:			
BID J	PRICE: \$	FEDERAI	. PROJECT	Γ#	_LOCATION: _				
Т	OTAL DBE PAR	RTICIPATION A	S A PERCI	ENT OF TOTA	AL BID PRICE =	%			
	DBE Firm*	Unit/Item Cost	Unit #		tion of work & m Number	Actual \$ Value			
If no DBE firm(s) are used, bidder must document efforts made to secure DBE participation and attach supporting evidence of this effort: Examples: Bidder relies wholly upon low quote subcontractor section, DBE firm(s) were not low quote. No DBE firms bid. *Only DBE firms certified by MDOT prior to bidding can be utilized by Contractor for DBE credit. Directory of certified DBEs is available on MDOT's website: www.state.me.us/mdot									
•	l Opportunity Use:			Act	tion:				



Certified Disadvantaged and Women Business Enterprise

DBE DIRECTORY - MINORITY OWNED

WBE DIRECTORY - WOMEN OWNED

WEBSITE FOR DIRECTORY CAN BE FOUND AT: http://www.state.me.us/mdot/humnres/o equalo/cdwbed h.htm

It is the responsibility of the Contractor to access the DBE Directory at this site in order to have the most current listings.

STATE OF MAINE DEPARTMENT OF TRANSPORTATION NOTICE TO CONTRACTORS

Sealed Bids addressed to the Maine Department of Transportation, Augusta, Maine 04333 and endorsed on the wrapper "Bids for Highway Improvements and Pavement Rehabilitation in the towns of <u>Eustis and Carrabasset Valley</u>" will be received from contractors at the Reception Desk, Temporary Transportation Building in Winthrop, Maine, until 11:00 o'clock A.M. (prevailing time) on <u>September 3, 2003</u>, and at that time and place publicly opened and read. Bids will be accepted only from contractors prequalified by the Department of Transportation for <u>Highway Construction projects</u>. All other Bids will be rejected. MDOT is currently transitioning to provide for the option of electronic bidding. We now accept electronic bids for those bid packages posted on our electronic bid website. Electronic bids do not have to be accompanied by paper bids. <u>Please note: the Department will accept a facsimile of the bid bond for the electronic bid; however, the original bid bond must then be received at the MDOT Contract Section within 72 hours of the bid opening. During this transition, dual bids (one paper, one electronic) will be accepted, with the paper copy taking precedence. For those who chose to submit a paper bid alone, nothing has changed.</u>

Description: Maine Federal Aid Project No. STP-7644(00)X - SAIP-11.1010(15), PIN. 7644.00 - 10331.01

Location: In Franklin County, project is located on Rte.27 from approx. 0.11 mi. south of Caldwell Rd. and extending northerly 2.2 km. Including pavement rehabilitation at the Sugarloaf Regional Airport.

Outline of Work: Recycled pavement, recycled pavement with foamed asphalt, hot mix asphalt, drainage, guardrail, and other incidental work.

The basis of award will be Section 0001.

For general information regarding Bidding and Contracting procedures, contact Bruce Carter at (207)624-3430. Our webpage at http://www.state.me.us/mdot/project/design/homepg.htm contains a copy of the schedule of items, Plan Holders List, written portions of bid amendments (not drawings), and bid results. For Project-specific information fax all questions to **Project Manager** Heath Cowan at (207)624-3481. Questions received after 12:00 noon of Monday prior to bid date will not be answered. Bidders shall not contact any other Departmental staff for clarification of Contract provisions, and the Department will not be responsible for any interpretations so obtained. Hearing impaired persons may call the Telecommunication Device for the Deaf at (207)287-3392.

Plans, specifications and bid forms may be seen at the Maine Department of Transportation, Temporary Transportation Building in Winthrop, Maine and at the Department of Transportation's Division VII Office in Dixfield. They may be purchased from the Department between the hours of 8:00 a.m. to 4:30 p.m. by cash, credit card (Visa/Mastercard) or check payable to Treasurer, State of Maine sent to Maine Department of Transportation, <a href="https://doi.org/10.2006/jtm2.2006

Each Bid must be made upon blank forms provided by the Department and must be accompanied by a bid bond at 5% of the bid amount or an official bank check, cashier's check, certified check, certificate of deposit, or United States postal money order in the amount of \$50,000.00 payable to Treasurer, State of Maine as a Bid guarantee. A Contract Performance Surety Bond and a Contract Payment Surety Bond, each in the amount of 100 percent of the Contract price, will be required of the successful Bidder.

This Contract is subject to all applicable Federal Laws. This contract is subject to compliance with the Disadvantaged Business Enterprise program requirements as set forth by the Maine Department of Transportation.

All work shall be governed by "State of Maine, Department of Transportation, Standard Specifications, Revision of December 2002", price \$10 [\$13 by mail], and Standard Details, Revision of December 2002, price \$20 [\$25 by mail]. Standard Detail updates can be found at http://www.state.me.us/mdot/project/design/homepg.htm
The right is hereby reserved to the MDOT to reject any or all bids.

Winthrop, Maine August 20, 2003

JOHN E. DORITY CHIEF ENGINEER

10.15501

CARRABASSET AIRPORT

10.15501 ЫN

92 Route 27

Carrabasset Valley

SCOPE OF WORK: Full depth reclaimation Hot Mix Asphalt, and incidentals

A PORTION OF FANKLIN COUNTY

DEPARTMENT OF TRANSPORTATION
APPROVED DATE
TO THE TRANSPORTATION
T

DEPARTMENT OF TRANSPORTATION

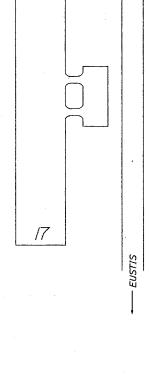
STATE OF MAINE

FRANKLIN COUNTY SUGARLOAF REGIONAL AIRPORT SAIP-11.1010(15)

CARRABASSET VALLEY

PROJECT NO. SAIP-II.IOIO(15)

PROJECT LENGTH : 0.85 Km PAVEMENT REHABILITATION



SPECIAL PROVISION 102.7.3 ACKNOWLEDGMENT OF BID AMENDMENTS & SUBMISSION OF BID BOND VALIDATION NUMBER (IF APPLICABLE)

With this form, the Bidder acknowledges its responsibility to check for all Amendments to the Bid Package. For each Project under Advertisement, Amendments are located at http://www.state.me.us/mdot/project/design/schedule.htm. It is the responsibility of the Bidder to determine if there are Amendments to the Project, to download them, and to incorporate them into their Bid Package. The Maine DOT will not post Bid Amendments any later than noon the day before Bid opening.

Amendment Number	Date

The Contractor, for itself, its successors and assigns, hereby acknowledges that it has received all of the above referenced Amendments to the Bid Package. Failure to acknowledge receipt of all Amendments to the Bid Package will be considered a Non-curable Bid Defect in accordance with Section 102.11.1 of the Standard Specifications, Revision of December 2002.

CONTRACTOR

Date	Signature of authorized representative
	(Name and Title Printed)

BID

DATE OF OPENING : CALL ORDER :

CONTRACT ID : 007644.00

PROJECTS

STP-7644(00)X SAIP11.1010(15)

COUNTY : FRANKLIN

PAGE: 1 DATE: 030811

SCHEDULE OF ITEMS

REVISED:

CONTRACT ID: 007644.00 PROJECT(S): STP-7644(00)X SAIP11.1010(15)

LINE	ITEM DESCRIPTION	AP	PROX.	ŀ	UNIT	PRI	CE	1	BID A	MOUNT
NO 	DESCRIPTION		NTITY UNITS		DOLLARS					CTS
	SECTIO	ON 0001	HIGHWAY	. 1	TEMS					
20 20 	01.11 CLEARING	 HA	2.70	00	 		 			
	01.23 REMOVING SINGLE REE TOP ONLY	 EA	12.00	00	 		 	 		
20 0030 	01.24 REMOVING STUMP	 EA	12.00	00	 		 	 		
20 0040 		 M2	32.00	00	 		 			
20 	03.20 COMMON EXCAVATION	•	32000.00	00	 		 			
20 	03.24 COMMON BORROW	 M3	1066.00	00	 		 			
0070 E	06.061 STRUCTURAL EARTH KCAVATION - DRAINAGE ND MINOR STRUCTURES, ELOW GRADE	i	50.00	00	 		 	 		
0080 RI	07.32 FULL DEPTH ECYCLED AVEMENT (UNTREATED AINELINE TRAVELWAY)	 M2 	20576.00	00	 		 	 		
0090 P2	09.36 FULL DEPTH REC AVEMENT W/FOAM ASPHALT INCH DEPTH	 M2	22150.00	00	 		 	 		

PAGE: 2 DATE: 030811

CONTRACT ID: 007644.00 PROJECT(S): STP-7644(00)X

SCHEDULE OF ITEMS

- 1

SAIP11.1010(15)

CONTRACTOR : ______ |403.209 HOT MIX ASPHALT | 0110|9.5 MM(SIDEWALKS,DRIVES, | 115.000| |INCIDENTAL) | MG | - 1 0150|PIPE OPTION I |603.199 600 MM CULVERT | 0170|PIPE OPTION III | 77.000| |604.092 CATCH BASIN TYPE | | 4.000| |EA | 0200|B1-C

PAGE: 3 DATE: 030811

REVISED:

CONTRACT ID: 007644.00 PROJECT(S): STP-7644(00)X

SCHEDULE OF ITEMS

SAIP11.1010(15)

LINE		APPROX.			BID AM	
NO 	DESCRIPTION	AND UNITS				
	605.09 150 MM UNDERDRAIN	 158.000 M	 	 	 	
	605.10 150 MM UNDERDRAIN OUTLET	 27.000 M	 	 	 	
	605.11 300 MM UNDERDRAIN	 251.000 M	 	 	 	
	606.17 GUARDRAIL TYPE 3B - SINGLE RAIL 	 1250.000 M	 	 	 	
0250	606.265 TERMINAL END - SINGLE RAIL - GALVANIZED STEEL		 	 	 	
	606.35 GUARDRAIL DELINEATOR POST	 24.000 EA	 	 	 	
0270	606.47 SINGLE WOOD POST	 22.000 EA	 	 	 	
	606.60 GUARDRAIL TYPE 3 - OVER 4.5 METER RADIUS	 46.000 M	 	 	 	
	606.79 GUARDRAIL 350 FLARED TERMINAL	 10.000 EA	 	 	 	
0300	609.31 CURB TYPE 3 	 400.000 M	 	 	 	
0310	610.08 PLAIN RIPRAP	 80.000 M3	 	 	 	

MAINE DEPARTMENT OF TRANSPORTATION PAGE: 4 DATE: 030811

REVISED:

SCHEDULE OF ITEMS

CONTRACT ID: 007644.00 PROJECT(S): STP-7644(00)X SAIP11.1010(15)

CONTR	ACTOR :			
LINE NO	DESCRIPTION			BID AMOUNT - DOLLARS CTS
	•	 135.000 M2		
0330	613.319 EROSION CONTROL BLANKET 	 1050.000 M2		
0340	•	 1290.000 M3		
	618.1301 SEEDING METHOD NUMBER 1 - PLAN QUANTITY 			
	618.1401 SEEDING METHOD NUMBER 2 - PLAN QUANTITY 	•	 	
	618.1411 SEEDING METHOD NUMBER 3 - PLAN QUANTITY 			
0380	•	 5.000 M3		
	619.1201 MULCH - PLAN QUANTITY 	 268.000 UN		
	620.58 EROSION CONTROL GEOTEXTILE 	 170.000 M2		
0410	621.178 MEDIUM DECIDUOUS TREES (1800 MM - 2400 MM) GROUP A	 6.000 EA		

PAGE: 5 DATE: 030811

REVISED:

CONTRACT ID: 007644.00 PROJECT(S): STP-7644(00)X SAIP11.1010(15)

SCHEDULE OF ITEMS

LINE	ITEM DESCRIPTION	APPROX.	UNIT PRICE	E BID AMOUNT
NO	•	~		CTS DOLLARS CTS
0420	627.711 WHITE OR YELLOW PAINTED PAVEMENT MARKING LINE (PLAN QUANTITY)	10390.000	 	
0430	627.75 WHITE OR YELLOW PAVEMENT AND CURB MARKING	 100.000 M2		
0440	627.76 TEMPORARY PAVEMENT MARKING LINE, WHITE OR YELLOW	 LUMP 	 LUMP 	
	629.05 HAND LABOR, STRAIGHT TIME 	 20.000 HR	 	
0460	631.12 ALL PURPOSE EXCAVATOR (INCLUDING OPERATOR)	 20.000 HR	 	
	631.13 BULLDOZER (INCLUDING OPERATOR) 	 10.000 HR		
0480	631.15 ROLLER, EARTH AND BASE COURSE (INCLUDING OPERATOR)			
	631.172 TRUCK - LARGE (INCLUDING OPERATOR) 	 10.000 HR	 	
	631.18 CHAIN SAW RENTAL (INCLUDING OPERATOR) 	 10.000 HR		
	631.20 STUMP CHIPPER (INCLUDING OPERATOR) 	 10.000 HR		
0520		 LUMP 		

PAGE: 6 DATE: 030811

SCHEDULE OF ITEMS REVISED:

CONTRACT ID: 007644.00 PROJECT(S): STP-7644(00)X

SAIP11.1010(15)

LINE	ITEM DESCRIPTION	APPROX.					BID A	
		AND UNITS						
0530			000	 	 		 	
0540	652.31 TYPE I BARRICADE 	 15.0 EA	000	 	 		 	
	652.311 TYPE II BARRICADE 	 15.0 EA	000	 	 		 	
0560		 110.0 EA	000	 	 		 	
0570		 100.0 EA	000	 	 		 	
	652.35 CONSTRUCTION SIGNS	 100.0 M2	000	 	 		 	
	652.361 MAINTENANCE OF TRAFFIC CONTROL DEVICES 	 LUMP		 LUMP 	 		 	
0600	652.38	 2000.0 HR	000	 	 		 	
0610	656.75 TEMPORARY SOIL EROSION AND WATER POLLUTION CONTROL	 LUMP		 LUMP	 		 	
0620	659.10 MOBILIZATION 	 LUMP		 LUMP 	 	- 	 	
	660.21 ON-THE-JOB TRAINING (BID)	 1000.0 HR	000	 	 			
 	 SECTION 0001 TOTAL			 				

MAINE DEPARTMENT OF TRANSPORTATION PAGE: 7
DATE: 030811

REVISED:

SCHEDULE OF ITEMS

CONTRACT ID: 007644.00 PROJECT(S): STP-7644(00)X SAIP11.1010(15)

CONTRAC	FOR :						
LINE NO	ITEM DESCRIPTION	 	APPROX. QUANTITY AND UNITS		PRICE	BID DOLLARS	AMOUNT S CTS
	rotal bid			 			

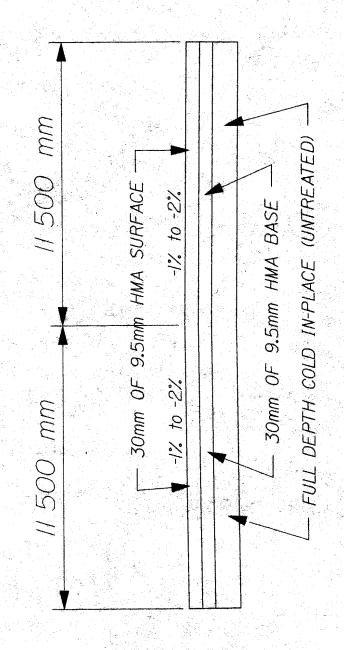
GENERAL NOTES

- 1. The Contractor shall place suitable existing material, or other material acceptable to the Resident, on all pavement edges to allow no greater than a 75 mm [3 in] drop-off and be graded to 4:1 or flatter. Payment to be incidental to the contract.
- 2. All waste material not used on the project shall be disposed of off the project in waste areas approved by the Resident.
- 3. Any damage to the existing facility caused by the Contractor's equipment, personnel, or operation shall be repaired to the satisfaction of the Resident. All work, equipment and materials required to make repairs shall be at the Contractor's expense.
- 4. Any necessary cleaning of existing pavement prior to paving shall be incidental to the related paving items.
- 5. No existing drainage shall be abandoned, removed or plugged without prior approval of the Resident.
- 6. All work shall be done in accordance with the Maine Department of Transportation's Best Management Practices for Erosion Control & Sediment Control, January, 2000.
- 7. Plan and profile sheets for the previously constructed projects covering this project are available upon request. These projects are: Sugarloaf Regional Airport A.D.A.P Project No. 5-23-0013-01.
- 8. The Contractor shall give MDOT and the Town of Carrabasset Valley 72 hours notice and a schedule for runway work before commencing any construction activities in order to allow for public notification of runway closure. A continuous work schedule will be maintained until all work is completed.
- 9. The typical section showing runway template and striping (sheet 2 of 3) is dimensioned using U.S. Standard units. Stationing was considered unnecessary, and was omitted from the typical.
- 10. Temporary pavement marking lines shall consist of paint.

GENERAL NOTES

- 11. The quantity for Item # 627.711 White Or Yellow Pavement Marking Line Plan Quantity represents the actual length of **painted line** and does not include the unpainted gaps along the runway centerline.
- 12. The runway centerline stripe consists of two 4" white lines separated by a 4" space broken at 80' intervals as shown in the typical sections. Payment will be made under Item #627.711 and shall be considered to be one painted line.

TYPICAL SECTION



RUMMAY 17-35

The taxiways will recieve the same treatment as the runway matching existing template.

Both taxiways are 42 m long.

TYPICAL SECTIONS CARRABASSET VALLEY

REGIONAL AIRPORT

CONTRACT AGREEMENT, OFFER & AWARD

AGR	EEMENT made on the date last signed below, by and between the State of Maine,
actin	g through and by its Department of Transportation (Department), an agency of state
gove	rnment with its principal administrative offices located at 1705 U.S. Route 202,
Wint	hrop, Maine, with a mailing address at 16 State House Station, Augusta, Maine 04333-
	, and(Name of the firm bidding the job)
a cor	poration or other legal entity organized under the laws of the state of Maine, with its
princ	ipal place of business located at(address of the firm bidding the job)
1	
	Department and the Contractor, in consideration of the mutual proprises set forth in this
Agre	ement (the "Contract"), hereby agree as follows \ \ \
A.	The Work.
1	
	The Contractor agrees to complete all Work as specified or indicated in the Contract
	\including Extra Work in conformity with the Contract, PIN No. 1224.00
	, for
	the Hot Mix Asphalt Overlay in the
	town city of West Eastport, County of
	Washington . Maine. The Work includes construction, maintenance during
	construction, wateranty as provided in the Contract, and other incidental work.
	The Contractor shall be responsible for furnishing all supervision, labor, equipment,
	tools supplies, permanent materials and temporary materials required to perform the
	Work including construction quality control including inspection, testing and
	documentation, all required documentation at the conclusion of the project, warranting
	its work and performing all other work indicated in the Contract.
	The Department shall have the right to alter the nature and extent of the Work as
	provided in the Contract; payment to be made as provided in the same.
В.	Time.
	The Contractor agrees to complete all Work, except warranty work, on or before
	November 15, 2003. Further, the Department may deduct from moneys otherwise
	due the Contractor, not as a penalty, but as Liquidated Damages in accordance with
	Sections 107.7 and 107.8 of the State of Maine Department of Transportation Standard
	Specifications, Revision of December 2002.

C. Price.

The quantities given in the Schedule of Items of the Bid Package will be used as the basis for determining the original Contract amount and for determining the amounts of the required Performance Surety Bond and Payment Surety Bond, and that the amount of this offer is _____(Place bid here in alphabetical form such as One Hundred and

Two dollars and 10 cents)

\$_ (repeat bid here in numerical terms, such as \$102.10) \ Performance

Bond and Payment Bond each being 100% of the amount of this Contract.

D. Contract.

This Contract, which may be amended, modified, or supplemented in writing only, consists of the Contract documents as defined in the Plans, Standard Specifications, Revision of December 2002, Standard Detalls Revision of December 2002, Supplemental Specifications, Special Provisions, Contract Agreement; and Contract Bonds. It is agreed and understood that this Contract will be governed by the documents listed above.

E. Certifications.

By signing below, the Contractor hereby certifies that to the best of the Contractor's knowledge and belief:

- 1. All of the statements representations, covenants, and/or certifications required or set forth in the Bid and the Bid Documents, including those in Appendix A to Division 100 of the Standard Specifications Revision of December 2002 (Federal Contract Provisions Supplement), and the Contract are still complete and accurate as of the date of this Agreement.
- 2. The Contractor knows of no legal, contractual, or financial impediment to entering into this Contract.
- 3. The person signing below is legally authorized by the Contractor to sign this Contract on behalf of the Contractor and to legally bind the Contractor to the terms of the Contract.

F. Offer.

The undersigned, having carefully examined the site of work, the Plans, Standard Specifications, Revision of December 2002, Standard Details Revision of December 2002, Supplemental Specifications, Special Provisions, Contract Agreement; and Contract Bonds contained herein for construction of:

PIN 1234.00 West Eastport, Hot Mix Asphalt Overlay

State of Maine, on which bids will be received until the time specified in the "Notice to Contractors" do(es) hereby bid and offer to enter into this contract to supply all the materials, tools, equipment and labor to construct the whole of the Work in strict accordance with the terms and conditions of this Contract at the unit prices in the attached "Schedule of Items".

The Offeror agrees to perform the work required at the price specified above and in accordance with the bids provided in the attacked "Schedule of Items" in strict accordance with the terms of this solicitation, and to provide the appropriate insurance and bonds if this offer is accepted by the Government in writing.

As Offeror also agrees:

First. To do any extra work, not covered by the attached "Schedule of Items", which may be ordered by the Resident, and to accept as full compensation the amount determined upon a "Force Account" basis as provided in the Standard Specifications, Revision of December 2002, and as addressed in the contract documents.

Second: That the bid band at 5% of the bid amount or the official bank check, cashier's check, certificate of deposit or U. S. Postal Money Order in the amount given in the "Notice to Contractors", payable to the Treasurer of the State of Maine and accompanying this bid, shall be forfeited, as liquidated damages, if in case this bid is accepted, and the undersigned shall fail to abide by the terms and conditions of the offer and fail to furnish satisfactory insurance and Contract bonds under the conditions stipulated in the Specifications within 15 days of notice of intent to award the contract.

Third: To begin the Work on the date specified in the Engineer's "Notice to Commence Work" as stated in Section 107.2 of the Standard Specifications Revision of 2002 and complete the Work within the time limits given in the Special Provisions of this Contract.

Fourth: The Contractor will be bound to the Disadvantaged Business Enterprise (DBE) Requirements contained in the attached Notice (Additional Instructions to Bidders) and submit a completed Contractor's Disadvantaged Business Enterprise Utilization Plan by 4:30pm on the day of bid opening to the Contracts Engineer.

Fifth: That this offer shall remain open for 30 calendar days after the date of opening of bids.

Sixth: The Bidder hereby certifies, to the best of its knowledge and belief that: the Bidder has not, either directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of competitive bidding in connection with its bid, and its subsequent contract with the Department.

	etor, for itself, its successors and assigns, hereby greement and thereby binds itself to all covenants, ontract Documents
Date (Witness Sign Here) Witness G. Award. Your offer is hereby accepted. documents referenced herein.	(Sign Here) (Sign Here) (Sign Here) (Sign Here) (Print Name Here) (Name and Title Printed) This award consummates the Contract, and the
	MAINE DEPARTMENT OF TRANSPORTATION
Date	By: David A. Cole, Commissioner
(Witness)	

CONTRACT AGREEMENT, OFFER & AWARD

AGREEMENT made on the date last signed below, by and between the State of Maine
acting through and by its Department of Transportation (Department), an agency of state
government with its principal administrative offices located at 1705 U.S. Route 202
Winthrop, Maine, with a mailing address at 16 State House Station, Augusta, Maine 04333-
0016, and
a corporation or other legal entity organized under the laws of the State of Maine, with its principal place of business located at

The Department and the Contractor, in consideration of the mutual promises set forth in this Agreement (the "Contract"), hereby agree as follows:

A. The Work.

The Contractor agrees to complete all Work as specified or indicated in the Contract including Extra Work in conformity with the Contract, PIN No's. 7644.00 - 10331.01 for Highway Improvements and Pavement Rehabilitation in the towns of Eustis and Carrabasset Valley, County of Franklin Maine. The Work includes construction, maintenance during construction, warranty as provided in the Contract, and other incidental work.

The Contractor shall be responsible for furnishing all supervision, labor, equipment, tools supplies, permanent materials and temporary materials required to perform the Work including construction quality control including inspection, testing and documentation, all required documentation at the conclusion of the project, warranting its work and performing all other work indicated in the Contract.

The Department shall have the right to alter the nature and extent of the Work as provided in the Contract; payment to be made as provided in the same.

B. Time.

The Contractor agrees to complete all Work, except warranty work, on or before **September 1, 2004.** Further, the Department may deduct from moneys otherwise due the Contractor, not as a penalty, but as Liquidated Damages in accordance with Sections 107.7 and 107.8 of the State of Maine Department of Transportation Standard Specifications, Revision of December 2002.

C. Price.

basis for determini	en in the Schedule of Items of the Bid Package will be used as the ing the original Contract amount and for determining the amounts o
the required Performs of this offer is	mance Surety Bond and Payment Surety Bond, and that the amoun
\$	Performance Bond and Payment Bond each being
100% of the amount	at of this Contract.

D. Contract.

This Contract, which may be amended, modified, or supplemented in writing only, consists of the Contract documents as defined in the Plans, Standard Specifications, Revision of December 2002, Standard Details Revision of December 2002 as updated through advertisement, Supplemental Specifications, Special Provisions, Contract Agreement; and Contract Bonds. It is agreed and understood that this Contract will be governed by the documents listed above.

E. Certifications.

By signing below, the Contractor hereby certifies that to the best of the Contractor's knowledge and belief:

- 1. All of the statements, representations, covenants, and/or certifications required or set forth in the Bid and the Bid Documents, including those in Appendix A to Division 100 of the Standard Specifications Revision of December 2002 (Federal Contract Provisions Supplement), and the Contract are still complete and accurate as of the date of this Agreement.
- 2. The Contractor knows of no legal, contractual, or financial impediment to entering into this Contract.
- 3. The person signing below is legally authorized by the Contractor to sign this Contract on behalf of the Contractor and to legally bind the Contractor to the terms of the Contract.

F. Offer.

The undersigned, having carefully examined the site of work, the Plans, Standard Specifications Revision of December 2002, Standard Details Revision of December 2002 as updated through advertisement, Supplemental Specifications, Special Provisions, Contract Agreement; and Contract Bonds contained herein for construction of:

<u>PINS. 7644.00 - 10331.01 - Highway Improvements and Pavement</u> Rehabilitation - in the towns of Eustis and Carrabasset Valley

State of Maine, on which bids will be received until the time specified in the "Notice to Contractors" do(es) hereby bid and offer to enter into this contract to supply all the materials, tools, equipment and labor to construct the whole of the Work in strict accordance with the terms and conditions of this Contract at the unit prices in the attached "Schedule of Items".

The Offeror agrees to perform the work required at the price specified above and in accordance with the bids provided in the attached "Schedule of Items" in strict accordance with the terms of this solicitation, and to provide the appropriate insurance and bonds if this offer is accepted by the Government in writing.

As Offeror also agrees:

First: To do any extra work, not covered by the attached "Schedule of Items", which may be ordered by the Resident, and to accept as full compensation the amount determined upon a "Force Account" basis as provided in the Standard Specifications, Revision of December 2002, and as addressed in the contract documents.

Second: That the bid bond at 5% of the bid amount or the official bank check, cashier's check, certificate of deposit or U. S. Postal Money Order in the amount given in the "Notice to Contractors", payable to the Treasurer of the State of Maine and accompanying this bid, shall be forfeited, as liquidated damages, if in case this bid is accepted, and the undersigned shall fail to abide by the terms and conditions of the offer and fail to furnish satisfactory insurance and Contract bonds under the conditions stipulated in the Specifications within 15 days of notice of intent to award the contract.

Third: To begin the Work on the date specified in the Engineer's "Notice to Commence Work" as stated in Section 107.2 of the Standard Specifications Revision of December 2002 and complete the Work within the time limits given in the Special Provisions of this Contract.

Fourth: The Contractor will be bound to the Disadvantaged Business Enterprise (DBE) Requirements contained in the attached Notice (Additional Instructions to Bidders) and submit a completed Contractor's Disadvantaged Business Enterprise Utilization Plan by 4:30pm on the day of bid opening to the Contracts Engineer.

Fifth: That this offer shall remain open for 30 calendar days after the date of opening of bids.

Sixth: The Bidder hereby certifies, to the best of its knowledge and belief that: the Bidder has not, either directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of competitive bidding in connection with its bid, and its subsequent contract with the Department.

IN WITNESS WHEREOF, the Contractor, for itself, its successors and assigns, hereby execute two duplicate originals of this Agreement and thereby binds itself to all covenants, terms, and obligations contained in the Contract Documents.

		CONTRACTOR
	Date	(Signature of Legally Authorized Representative of the Contractor)
	Witness	(Name and Title Printed)
G.	Award.	
	Your offer is hereby accepted. documents referenced herein.	This award consummates the Contract, and the
		MAINE DEPARTMENT OF TRANSPORTATION
	Date	By: David A. Cole, Commissioner
	Witness	

CONTRACT AGREEMENT, OFFER & AWARD

AGREEMENT made on the date last signed below, by and between the State of Maine
acting through and by its Department of Transportation (Department), an agency of state
government with its principal administrative offices located at 1705 U.S. Route 202
Winthrop, Maine, with a mailing address at 16 State House Station, Augusta, Maine 04333-
0016, and
a corporation or other legal entity organized under the laws of the State of Maine, with its principal place of business located at

The Department and the Contractor, in consideration of the mutual promises set forth in this Agreement (the "Contract"), hereby agree as follows:

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The Contractor shall be responsible for furnishing all supervision, labor, equipment, tools supplies, permanent materials and temporary materials required to perform the Work including construction quality control including inspection, testing and documentation, all required documentation at the conclusion of the project, warranting its work and performing all other work indicated in the Contract.

The Department shall have the right to alter the nature and extent of the Work as provided in the Contract; payment to be made as provided in the same.

B. Time.

The Contractor agrees to complete all Work, except warranty work, on or before **September 1, 2004.** Further, the Department may deduct from moneys otherwise due the Contractor, not as a penalty, but as Liquidated Damages in accordance with Sections 107.7 and 107.8 of the State of Maine Department of Transportation Standard Specifications, Revision of December 2002.

C. Price.

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\$	Performance Bond and Payment Bond each being
100% of the amount	at of this Contract.

D. Contract.

This Contract, which may be amended, modified, or supplemented in writing only, consists of the Contract documents as defined in the Plans, Standard Specifications, Revision of December 2002, Standard Details Revision of December 2002 as updated through advertisement, Supplemental Specifications, Special Provisions, Contract Agreement; and Contract Bonds. It is agreed and understood that this Contract will be governed by the documents listed above.

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By signing below, the Contractor hereby certifies that to the best of the Contractor's knowledge and belief:

- 1. All of the statements, representations, covenants, and/or certifications required or set forth in the Bid and the Bid Documents, including those in Appendix A to Division 100 of the Standard Specifications Revision of December 2002 (Federal Contract Provisions Supplement), and the Contract are still complete and accurate as of the date of this Agreement.
- 2. The Contractor knows of no legal, contractual, or financial impediment to entering into this Contract.
- 3. The person signing below is legally authorized by the Contractor to sign this Contract on behalf of the Contractor and to legally bind the Contractor to the terms of the Contract.

F. Offer.

The undersigned, having carefully examined the site of work, the Plans, Standard Specifications Revision of December 2002, Standard Details Revision of December 2002 as updated through advertisement, Supplemental Specifications, Special Provisions, Contract Agreement; and Contract Bonds contained herein for construction of:

<u>PINS. 7644.00 - 10331.01 - Highway Improvements and Pavement</u> Rehabilitation - in the towns of Eustis and Carrabasset Valley

State of Maine, on which bids will be received until the time specified in the "Notice to Contractors" do(es) hereby bid and offer to enter into this contract to supply all the materials, tools, equipment and labor to construct the whole of the Work in strict accordance with the terms and conditions of this Contract at the unit prices in the attached "Schedule of Items".

The Offeror agrees to perform the work required at the price specified above and in accordance with the bids provided in the attached "Schedule of Items" in strict accordance with the terms of this solicitation, and to provide the appropriate insurance and bonds if this offer is accepted by the Government in writing.

As Offeror also agrees:

First: To do any extra work, not covered by the attached "Schedule of Items", which may be ordered by the Resident, and to accept as full compensation the amount determined upon a "Force Account" basis as provided in the Standard Specifications, Revision of December 2002, and as addressed in the contract documents.

Second: That the bid bond at 5% of the bid amount or the official bank check, cashier's check, certificate of deposit or U. S. Postal Money Order in the amount given in the "Notice to Contractors", payable to the Treasurer of the State of Maine and accompanying this bid, shall be forfeited, as liquidated damages, if in case this bid is accepted, and the undersigned shall fail to abide by the terms and conditions of the offer and fail to furnish satisfactory insurance and Contract bonds under the conditions stipulated in the Specifications within 15 days of notice of intent to award the contract.

Third: To begin the Work on the date specified in the Engineer's "Notice to Commence Work" as stated in Section 107.2 of the Standard Specifications Revision of December 2002 and complete the Work within the time limits given in the Special Provisions of this Contract.

Fourth: The Contractor will be bound to the Disadvantaged Business Enterprise (DBE) Requirements contained in the attached Notice (Additional Instructions to Bidders) and submit a completed Contractor's Disadvantaged Business Enterprise Utilization Plan by 4:30pm on the day of bid opening to the Contracts Engineer.

Fifth: That this offer shall remain open for 30 calendar days after the date of opening of bids.

Sixth: The Bidder hereby certifies, to the best of its knowledge and belief that: the Bidder has not, either directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of competitive bidding in connection with its bid, and its subsequent contract with the Department.

IN WITNESS WHEREOF, the Contractor, for itself, its successors and assigns, hereby execute two duplicate originals of this Agreement and thereby binds itself to all covenants, terms, and obligations contained in the Contract Documents.

		CONTRACTOR
	Date	(Signature of Legally Authorized Representative of the Contractor)
	Witness	(Name and Title Printed)
G.	Award.	
	Your offer is hereby accepted. documents referenced herein.	This award consummates the Contract, and the
		MAINE DEPARTMENT OF TRANSPORTATION
	Date	By: David A. Cole, Commissioner
	Witness	

BOND #	
--------	--

CONTRACT PERFORMANCE BOND

(Surety Company Form)

KNOW ALL MEN BY THESE PRESENTS	S: That
	, as principal,
	,
	rs of the State of and having a
as Surety, are held and firmly bound unto	the Treasurer of the State of Maine in the sum
of	and 00/100 Dollars (\$),
to be paid said Treasurer of the State of payment well and truly to be made, Prince	Maine or his successors in office, for which ipal and Surety bind themselves, their heirs, and assigns, jointly and severally by these
The condition of this obligation is such that	at if the Principal designated as Contractor in
the Contract to construct Project Num	ber in the Municipality of faithfully performs the Contract, then this
obligation shall be null and void; otherwise	
of Maine.	eration or extension of time made by the State
Signed and sealed this	. day of, 20
WITNESSES:	SIGNATURES:
	CONTRACTOR:
Signature	
Print Name Legibly	Print Name Legibly SURETY:
Signature	
Print Name Legibly	Print Name Legibly
SURETY ADDRESS:	NAME OF LOCAL AGENCY: ADDRESS

CONTRACT PAYMENT BOND

(Surety Company Form)

KNOW ALL MEN BY THESE PRES	SENTS: That	
and the	State of	, as principal
and		
a corporation duly organized under thusual place of business in		
as Surety, are held and firmly bound		
and benefit of claimants as		
		d 00/100 Dollars (\$
for the payment whereof Principal an		
administrators, successors and assigns	=	
The condition of this obligation is su the Contract to construct Project	Number	in the Municipality of
		aims and demands incurred for al
labor and material, used or required by said Contract, and fully reimburses	the obligee for a	all outlay and expense which the
obligee may incur in making good an		
be null and void; otherwise it shall rer	nain in full force a	and effect.
A claimant is defined as one having Subcontractor of the Principal for lab- use in the performance of the contract	or, material or bot	_
Signed and sealed this	day of	
WITNESS:	SIGNATU	
	CONTRAC	CTOR:
Signature		
Print Name Legibly		
C ,	SURETY:	
Signature		
Print Name Legibly		e Legibly
SURETY ADDRESS:		F LOCAL AGENCY:
		S
TELEPHONE		

GENERAL DECISION ME030009 06/13/03 ME9 General Decision Number ME030009

Superseded General Decision No. ME020009

State: Maine

Construction Type:

HIGHWAY

County(ies):

AROOSTOOK KNOX SAGADAHOC FRANKLIN LINCOLN SOMERSET HANCOCK OXFORD WALDO KENNEBEC PISCATAQUIS YORK

HIGHWAY CONSTRUCTION PROJECTS excluding major bridging (for example: bascule, suspension and spandrel arch bridges; those bridging waters presently navigating or to be navigatable; and those involving marine construction in any degree); tunnels, building structures in rest area projects and railroad construction.

Modification Number Publication Date 0 06/13/2003

COUNTY(ies):

Backhoes

AROOSTOOK KNOX SAGADAHOC FRANKLIN LINCOLN SOMERSET HANCOCK OXFORD WALDO KENNEBEC PISCATAQUIS YORK

ENGI0004V 04/01/2003

ENG10004V 04/01/2003	Rates	Fringes
POWER EQUIPMENT OPERATORS:		,
Pavers	16.51	6.00
Rollers	16.51	6.00
SUME4024A 10/24/2000		
, , , , , , , , , , , , , , , , , , ,	Rates	Fringes
CARPENTERS	11.60	1.51
IRONWORKERS		
Structural	12.03	1.58
LABORERS		
Drillers	10.00	2.50
Flaggers	6.00	
Guardrail Installers	7.92	
Landscape	7.87	.16
Line Stripper	8.69	.23
Pipelayers	9.21	2.31
Rakers	9.00	1.51
Sign Erectors	10.00	
Unskilled	8.66	1.38
Wheelman	8.50	.43
POWER EQUIPMENT OPERATORS		

11.87

2.05

Bulldozers Cranes	12.33 14.06	2.88 1.75
Excavators	12.38	2.48
Graders	13.06	3.73
Loaders	11.41	2.87
Mechanics	13.18	2.57
TRUCK DRIVERS		
Dump	9.35	3.10
Tri axle	8.70	1.18
Two axle	8.56	2.19

WELDERS - Receive rate prescribed for craft performing operation to which welding is incidental.

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29 CFR 5.5(a)(1)(ii)).

In the listing above, the "SU" designation means that rates listed under that identifier do not reflect collectively bargained wage and fringe benefit rates. Other designations indicate unions whose rates have been determined to be prevailing.

WAGE DETERMINATION APPEALS PROCESS

- 1.) Has there been an initial decision in the matter? This can be:
- * an existing published wage determination
- * a survey underlying a wage determination
- * a Wage and Hour Division letter setting forth a position on a wage determination matter
- * a conformance (additional classification and rate) ruling

On survey related matters, initial contact, including requests for summaries of surveys, should be with the Wage and Hour Regional Office for the area in which the survey was conducted because those Regional Offices have responsibility for the Davis-Bacon survey program. If the response from this initial contact is not satisfactory, then the process described in 2.) and 3.) should be followed.

With regard to any other matter not yet ripe for the formal process described here, initial contact should be with the Branch of Construction Wage Determinations. Write to:

Branch of Construction Wage Determinations Wage and Hour Division
U. S. Department of Labor
200 Constitution Avenue, N. W.
Washington, D. C. 20210

2.) If the answer to the question in 1.) is yes, then an interested party (those affected by the action) can request

review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Write to:

Wage and Hour Administrator U.S. Department of Labor 200 Constitution Avenue, N. W. Washington, D. C. 20210

The request should be accompanied by a full statement of the

interested party's position and by any information (wage payment data, project description, area practice material, etc.) that the requestor considers relevant to the issue.

3.) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board U. S. Department of Labor 200 Constitution Avenue, N. W. Washington, D. C. 20210

4.) All decisions by the Administrative Review Board are final. END OF GENERAL DECISION

SPECIAL PROVISION CONSTRUCTION AREA

A Construction Area located in the **Town of <u>Eustis</u>** has been established by the Maine Department of Transportation in accordance with provisions of Title 29, Section 1703, Maine Revised Statutes Annotated.

- (a) The section of highway under construction beginning at Sta. 1+140.000 and ending at Sta. 3+520.000 of the construction centerline plus approaches.
- (b) (Rte.27) The section of highway under construction beginning at Sta. 1+140.000 and ending at Sta. 3+520.000 of the new construction centerline plus approaches.

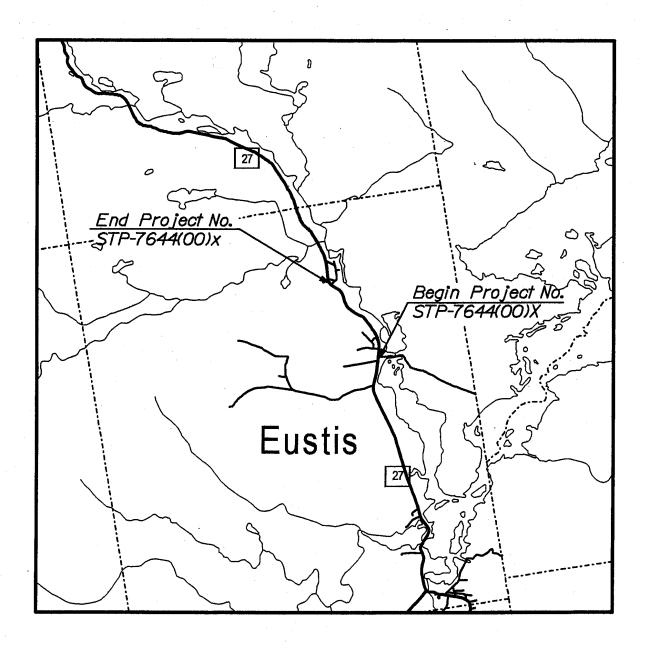
The State Department of Transportation or the State's Engineer may issue permits for stated periods of time for moving construction equipment without loads, low-bed trailers with overloads, over-height, over-width or overlength equipment or materials over all State maintained sections described in the "Construction Area" above and in addition may issue permits for stated periods of time for moving overweight vehicles and loads over the section described in (a) above. The right to revoke such a permit at any time is reserved by the State Department of Transportation and the issuance of such permits shall be subject to any Special Provisions or Supplemental Specifications written for this project.

A Temporary Permit for each move may be issued by the State Department of Transportation or the State's Engineer for moving Contractor's construction equipment used on the project which exceeds the legal limits (shovels, bulldozers, etc.) to sources of construction material over highways maintained by the State reasonably within the area of the project.

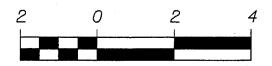
The Municipal Officers for the **Town of <u>Eustis</u>** agreed that a permit will be issued to the Contractor for the purpose of hauling loads in excess of the limits as specified in Title 29, Maine Revised Statues Annotated, on the town ways as described in the "Construction Area" and that single move permits will be issued for moving Contractor's construction equipment used on the project which exceeds the legal limits (shovels, bulldozers, etc.) to sources of construction material over town ways reasonably within the area of the project.

In the event it is necessary to transport gravel, borrow, or other construction material in legally registered vehicles carrying legal loads over town ways, a Contractor's Bond of not more than Nine Thousand (\$9,000.00) per kilometer of traveled length may be required by the town, the exact amount of said bond to be determined prior to use of any town way.

The maximum speed limits for trucks on any town way will be forty (40) km per hour [25 mph], unless a higher legal limit is specifically agreed upon in writing by the Municipal Officers concerned.



LOCATION MAP



Scale in Kilometers

SPECIAL PROVISION CONSTRUCTION AREA

Title 29A, M.R.S.A., Subsection 2383. Overlimit movement permits

- 1. Overlimit movement permits issued by State. The Secretary of State, acting under guidelines and advice of the Commissioner of Transportation, may gant permits to move non-divisible objects having a length, width, height or weight greater than specified in this Title over a way or bridge maintained by the Department of Transportation.
- 2. Permit Fee. The Secretary of State, with the advice of the Commissioner of Transportation, may set the fee for these permits, at not less than \$3, nor more than \$15, based on weight, height, length and width.
- 3. County and municipal permits. A permit may be granted, for a reasonable fee, by county commissioners or municipal officers for travel over a way or bridge maintained by that county or municipality.
- 4. Permits for weight. A vehicle granted a permit for excess weight must first be registered for the maximum gross vehicle weight allowed for that vehicle.
- 5. Special mobile equipment. The Secretary of State may grant a permit, for no more than one year, to move pneumatic-tire equipment under its own power, including Class A and Class B special mobile equipment, over ways and bridges maintained by the Department of Transportation. The fee for that permit is \$15 for each 30-day period.
- 6. Scope of permit. A permit is limited to the particular vehicle or object to be moved and particular ways and bridges.
- 7. Construction permits. A permit for a stated period of time may be issued for loads and equipment employed on public way construction projects, United States Government projects or construction of private ways, when within construction areas established by the Department of Transportation. The Permit:
 - A. Must be procured from the municipal officers for a construction area within that municipality;
 - B. May require the Contractor to be responsible for damage to ways used in the construction areas and ma provide for:
 - (1) Withholding by the agency contraction the work of final payment under contract; or
 - (2) The furnishing of a bond by the Contractor to guarantee suitable repair or payment damages.
 - C. May be granted by the Department of Transportation or by the state engineer in charge of the construction contract; and
 - D. For construction areas, carries no fee and does not come within the scope of this section.
- 8. Gross vehicle weight permits. The following may grant permits to operate a vehicle having a gross vehicle weight exceeding the prescribed limit:

- A. The Secretary of State, with the consent of the Department of Transportation, for state and state aid highways and bridges within city or compact village limits;
- B. Municipal officers, for all other ways and bridges within that city and compact village limits; and
- C. The county commissioners, for county roads and bridges located in unorganized territory.
- 9. Pilot vehicles and state police escorts. Pilot vehicles required by a permit must be equipped with warning lights and signs as required by the Secretary of State with the advice of the Department of Transportation.

Warning lights may only be operated and lettering on the signs may only be visible on a pilot vehicle while it is escorting on a public way a vehicle with a permit.

The Secretary of State shall require a State Police escort for a single vehicle or a combination of vehicles of 125 feet or more in length or 16 feet or more in width. The Secretary of State, with the advice of the Commissioner of Transportation, may require vehicles of lesser dimensions to be escorted by the State Police.

The Bureau of State Police shall establish a fee for State Police escorts.

All fees collected must be used to defray the cost of services provided.

With the advice of the Commissioner of Transportation and the Chief of the State Police, the Secretary of State shall establish rules for the operation for the operation of pilot vehicles.

10. Taxes paid. A permit for a mobile home may not be granted unless the applicant provides reasonable assurance that all property taxes, sewage disposal charges and drain and sewer assessments applicable to the mobile home, including those for the current tax year, have been paid or that the mobile home is exempt from those taxes.

1993, c. 683, § S-2, eff. January 1, 1995.

Historical and Statutory Notes

Derivation:

Laws 1977, c. 73, § 5. Laws 1981, c. 413. R.S. 1954, c. 22 § 98 Laws 1985, c. 225, § 1 Laws 1955, c. 389 Laws 1987. c. 52. Laws 1967, c. 3. Laws 1987, 781, § 3. Laws 1971, c. 593, § 22. Laws 1989, c. 866, § B-13. Laws 1973, c. 213. Laws 1991, c. 388, § 8. Laws 1975, c. 130, § Laws 1993, c. 683, § A-1. Laws 1975, c. 319, § 2 Former 29 M.R.S.A. § 2382.

Cross Reference

Collection by Secretary of State, See 29-A M.R.S.A. § 154.

Eustis STP-7644(00)X 7644.00 August 15, 2003

SPECIAL PROVISIONS SECTIONS 104

UTILITIES

MEETING

A preconstruction utility meeting is hereby called for, as defined in Subsection 104.4.6 Utility Coordination of the Supplemental Specifications and Supplemental Standard Details for Construction dated February 1, 2001.

GENERAL

These Special Provisions outline the arrangements that have been made by the Department for utility work to be undertaken in conjunction with this project. The following list identifies all known utilities having facilities presently located within the limits of this project or intending to install facilities during project construction.

Overview:

Utility/Railroad	Aerial	Underground	Railroad
Central Maine Power Company	1		
Adelphia Communications Corporation	V		
Somerset Telephone Company	1	7	

Temporary utility adjustments are not contemplated unless herein provided for.

The approximate locations of major items of existing and proposed (permanent and temporary) utility plant are shown on the construction plans.

All utility crossings over highways will provide not less than 20 feet vertical clearance over existing ground in cut or over finished grade in fill, during construction of this project.

Manholes, valve boxes, service connections, and similar incidental utility plant are to be adjusted in cooperation with work being done by the Contractor.

Unless otherwise provided, utilities will not be required to make underground installations in frozen ground.

GENERAL CONTINUED

Any times and dates mentioned are estimates only and are dependent upon favorable weather, working conditions, and freedom from emergencies. The Contractor shall have no claim against the Department if they are exceeded.

Utility working days are Monday through Friday, conditions permitting. Times are estimated on the basis of a single crew for each utility.

The Contractor must do all clearing and tree removal that is part of this contract in areas where utilities are involved before the Utilities may relocate their facilities.

AERIAL RELOCATIONS

** A DETAILED POLE LIST IS ATTACHED TO THIS SPECIAL PROVISION**

Summary:

Utility	Pole Set	New Wires/ Cables	Trans. Wires/ Cables	Remove Poles	Estimated Working Days
Central Maine Power Company	1 1		1	1	15
Adelphia Communications Corporation			V		10
Somerset Telephone Company		√	V		5
		-		Total:	30*

^{*} Total includes pole setting time of 2 days and pole removal time of 1 day*

Central Maine Power Company(CMP)

CMP will be relocating approximately 15 poles and upgrading another 5 poles as part of this project. The proposed pole list is appended to the end of this special provision. CMP estimates 15 working days to set new poles and transfer facilities. CMP requires 2 weeks advance notice prior to any operations involving the relocation of their poles.

Adelphia Communications Company

Following CMP's completion of pole relocation and transferring of lines, Adelphia will transfer their lines on to the new CMP poles. Adelphia estimates 10 working days to complete the transfer of their lines to the new poles. Adelphia also requires 2 weeks advance notice prior to any operations involving the relocation of their lines.

Somerset Telephone Company

Following Adelphia's completion of transferring their lines, Somerset Telephone Company intends to relocate their cable to the new poles. Somerset Telephone estimates 5 working days to complete the relocation to the new poles. Somerset Telephone Company requires 2 weeks advance notice prior to any operations involving the relocation of their lines.

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After Somerset Telephone and Adelphia have transferred their lines on to the new poles, and old poles are clear of all lines, CMP will need 2 working days to remove the old CMP poles. CMP requires 2 weeks advance notice prior to any operations involving the old poles.

In the event the Contractor may require a utility company to hold an existing temporary or new utility pole for any reason, the Contractor shall notify the utility company no later than 3 working days before such service is required.

SUBSURFACE

Somerset Telephone Company has cut and abandoned their underground copper cable and moved to aerial relocation as a result of this project.

BLASTING

In addition to any other notice that may be required, the Contractor shall pay particular attention to any utilities within the blasting area. The Contractor shall also notify an authorized representative of each utility having plant close to the site no later than <u>FORTY EIGHT (48) hours</u> before the intended blast. The notice shall state the approximate time and location of the blast.

SAFE PRACTICES AROUND UTILITY FACILITIES

The Contractor shall be responsible for complying with M.R.S.A. Title 35-A, Chapter 7-A Sections 751 - 761 Overhead High-Voltage Line Safety Act. Prior to commencing any work that may come within ten (10) feet of any aerial electrical line, the Contractor shall notify the aerial utilities as per Section 757 of the above act.

Any tree removal or tree trimming required within ten feet of the Central Maine Power Company conductors must be done by a contractor qualified to work within ten feet of the Central Maine Power Company conductors. The Contractor may obtain a list of tree removal contractors qualified to remove trees or limbs from Central Maine Power Company.

THE CONTRACTOR SHALL PLAN AND CONDUCT HIS WORK ACCORDINGLY

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CMP Pote TDS Pole	208	508	211	24.0	213	214	216	217	217.1	218	220	222	224	225	226	227	250	251	7.07	253	1.000	254	080	257	258	259	260	261	262	263	264	265	266	267	268	269		Caldwell Rd	-			-				

Town: Eustis PIN #: 7644.00 Date: 5/28/03

SPECIAL PROVISION SECTION 105

General Scope of Work (Environmental Requirements)

Instream Work shall <u>not</u> be allowed between the dates of October 2nd and July 14th. (Instream work is allowed from July 15th to October 1st.)

Stream Names with Stations #: unnamed tributaries of the North Branch Dead River at Stations 1+485 and 1+755.

Special Conditions: Do Not impede fish passage for more than 24 hours between September 15 and October 15.

Instream work consists of any activity conducted below normal high water mark.

All activities are <u>prohibited</u> (including placement and removal of cofferdams) below normal high water during the instream work window restriction, except for the following:

• Work within a sealed and dewatered cofferdam. Maintenance pumping within a sealed cofferdam is also allowed.

No construction activity, whether temporary or permanent, is allowed that completely blocks a river, stream, or brook without providing downstream flow.

This Special Provision supersedes the Instream Work Definition listed in the Department of Transportation's Supplemental Specifications and Supplemental Standard Details for Construction, Division 100 General Conditions. That definition is incorrect.

The contractor shall abide by all permits and conditions.

SPECIAL PROVISION <u>SECTION 105</u> LEGAL RELATIONS WITH AND RESPONSIBILITY TO PUBLIC (NPDES)

105.8.2 Permit Requirements This Section is revised by the addition of the following paragraph:

"The Contractor is advised that the Environmental Protection Agency has issued a final National Pollutant Discharge Elimination System (NPDES) General Permit for storm water discharges from construction sites disturbing more than 2 ha [5 acres]. This permit requires:

- Storm Water Pollution Prevention Plan
- Submission of a Notification of Intent (NOI) at least 48 hours before construction commences
- Submission of a Notification of Termination (NOT) when a site has been finally stabilized and all storm water discharges from construction activities are eliminated.

If the project's land disturbances is 2 ha [5 acres] or more, the Department will prepare the plan and submit the NOI (and NOT). The Contractor shall prepare plans and submit NOI's (and NOT's) for regulated construction activities beyond the project limits (e.g., borrow pits).

The Contractor shall be familiar with and comply with these regulations."

SPECIAL PROVISION <u>SECTION 107</u> TIME

The specified contract completion date is September 1, 2004.

SPECIAL PROVISION CORRECTIONS, ADDITIONS AND REVISIONS

Standard Specifications - Revision of December 2002

<u>SECTION 101</u> CONTRACT INTERPRETATION

<u>101.2 Definitions</u> - <u>Closeout Documentation</u>

Replace the sentence "A letter stating the amount..... DBE goals." with "DBE Goal Attainment Verification Form"

<u>SECTION 102</u> DELIVERY OF BIDS

(Location and Time)

102.7.1 Location and Time

Add the following sentence "As a minimum, the Bidder will submit a Bid Package consisting of the Notice to Contractors, the completed Acknowledgement of Bid Amendments & Submission of Bid Bond Validation Number form, the completed Schedule of Items, 2 copies of the completed Agreement, Offer, & Award form, a Bid Bond or Bid Guarantee, and any other Certifications or Bid Requirements listed in the Bid Book."

<u>SECTION 103</u> AWARD AND CONTRACTING

103.3.1 Notice and Information Gathering

Change the first paragraph to read as follows: "After Bid Opening and as a condition for Award of a Contract, the Department may require an Apparent Successful Bidder to demonstrate to the Department's satisfaction that the Bidder is responsible and qualified to perform the Work."

SECTION 105 GENERAL SCOPE OF WORK

105.6.2 Contractor Provided Services

Change the first paragraph by the addition of the following as the second sentence: "The Contractor is also responsible for providing construction centerline, or close reference points, for all Utility Facilities relocations and adjustments as necessary to complete the Work."

SECTION 106 QUALITY

<u>106.6 Acceptance</u> Add the following to paragraph 1 of A: "This includes Sections 401 - Hot Mix Asphalt, 402 - Pavement Smoothness, and 502 - Structural Concrete - Method A - Air Content."

Add the following to the beginning of paragraph 3 of A: "For pay factors based on Quality Level Analysis, and"

SECTION 107 TIME

<u>107.3.1 General</u> Add the following: "If a Holiday occurs on a Sunday, the following Monday shall be considered a Holiday. Sunday or Holiday work must be approved by the Department, except that the Contractor may work on Martin Luther King Day, President's Day, Patriot's Day, the Friday after Thanksgiving, and Columbus Day without the Department's approval."

SECTION 109 CHANGES

109.1.1 Changes Permitted Add the following to the end of the paragraph: "There will be no adjustment to Contract Time due to an increase or decrease in quantities, compared to those estimated, except as addressed through Contract Modification(s)."

109.1.2 Substantial Changes to Major Items Add the following to the end of the paragraph: "Contract Time adjustments may be made for substantial changes to Major Items when the change affects the Critical Path, as determined by the Department"

SECTION 402 PAVEMENT SMOOTHNESS

Add the following:

"Projects to have their pavement smoothness analyzed in accordance with this Specification will be so noted in Special Provision 403 - Bituminous Box."

<u>"402.02 Lot Size</u> Lot size for smoothness will be 1000 lane-meters [3000 lane-feet]. A sublot will consist of 20 lane-meters [50 lane-feet]. Partial lots will be included in the previous lot if less than one-half the size of a normal lot. If greater than one-half the normal lot size, it will be tested as a separate lot."

SECTION 502 STRUCTURAL CONCRETE

502.0502 Quality Assurance Method A - Rejection by Resident Change the first sentence to read: "For an individual sublot with test results failing to meet the criteria in Table #1, or if the calculated pay factor for Air Content is less than 0.80....."

502.0503 Quality Assurance Method B - Rejection by Resident Change the first sentence to read: "For material represented by a verification test with test results failing to meet the criteria in Table #1, the Department will....."

502.0505 Resolution of Disputed Acceptance Test Results Combine the second and third sentence to read: "Circumstances may arise, however, where the Department may"

<u>SECTION 604</u> MANHOLES, INLETS, AND CATCH BASINS

604.02 Materials Add the following:

"Tops and Traps	712.07
Corrugated Metal Units	712.08
Catch Basin and Manhole Steps	712.09"

SECTION 615 LOAM

618.02 Materials Make the following change:

Organic Content Percent by Volume

Humus "5% - 10%", as determined by Ignition Test

SECTION 618 SEEDING

<u>618.01 Description</u> Change the first sentence to read as follows: "This work shall consist of furnishing and applying seed"

Remove ",and cellulose fiber mulch" from 618.01(a).

<u>618.03</u> Rates of Application In 618.03(a), remove the last sentence and replace with the following: "These rates shall apply to Seeding Method 2, 3, and Crown Vetch."

618.09 Construction Method In 618.09(a) 1, sentence two, replace "100 mm [4 in]" with "25 mm [1 in] (Method 1 areas) and 50 mm [2 in] (Method 2 areas)"

SECTION 620 GEOTEXTILES

620.03 Placement Section (c)

Title: Replace "Non-woven" in title with "Erosion Control".

First Paragraph: Replace first word "Non-woven" with "Woven monofilament".

Second Paragraph: Replace second word "Non-woven" with "Erosion Control".

620.07 Shipment, Storage, Protection and Repair of Fabric Section (a)

Replace the third sentence with the following: "Damaged geotextiles, <u>as identified by the Resident</u>, shall be repaired immediately."

620.09 Basis of Payment

Pay Item 620.58: Replace "Non-woven" with "Erosion Control" Pay Item 620.59: Replace "Non-woven" with "Erosion Control"

SECTION 637 DUST CONTROL

637.06 Basis of Payment Add the following after the second sentence of the third paragraph: "Failure by the Contractor to follow Standard Specification or Special Provision - Section 637 and/or the Contractor's own Soil Erosion and Pollution Control Plan concerning Dust Control and/or visible evidence of excessive dust problems, as determined by the Resident, will result in a reduction in payment, computed by reducing the Lump Sum Total by 5% per occurrence per day. The Department's Resident or any other representative of the Department reserves the right to suspend the work at any time and request a meeting to discuss violations and remedies. The Department shall not be held responsible for any delay in the work due to any suspension under this item. Additional penalties may also be assessed in accordance with Special Provision 652 - Work Zone Traffic Control and Standard Specification 656 - Temporary Soil Erosion and Water Pollution Control."

SECTION 656

TEMPORARY SOIL EROSION AND WATER POLLUTION CONTROL

656.5.1 If Pay Item 656.75 Provided Replace the second paragraph with the following: "Failure by the Contractor to follow Standard Specification or Special Provision - Section 656 and/or the Contractor's own Soil Erosion and Pollution Control Plan will result in a reduction in payment, computed by reducing the Lump Sum Total by 5% per occurrence per day. The Department's Resident or any other representative of the Department reserves the right to

suspend the work at any time and request a meeting to discuss violations and remedies. The Department shall not be held responsible for any delay in the work due to any suspension under this item."

SECTION 712 MISCELLANEOUS HIGHWAY MATERIALS

Add the following:

<u>"712.07 Tops, and Traps</u> These metal units shall conform to the plan dimensions and to the following specification requirements for the designated materials.

Gray iron castings shall conform to the requirements of AASHTO M105, Class 30, unless otherwise designated.

Carbon steel castings shall conform to the requirements of AASHTO M103/M103M. Grade shall be 450-240 [65-35] unless otherwise designated.

Structural steel shall conform to the requirements of AASHTO M183/M183M or ASTM A283/A283M, Grade B or better. Galvanizing, where specified for these units, shall conform to the requirements of AASHTO M111.

712.08 Corrugated Metal Units The units shall conform to plan dimensions and the metal to AASHTO M36/M36M. Bituminous coating, when specified, shall conform to AASHTO M190 Type A.

- <u>712.09 Catch Basin and Manhole Steps</u> Steps for catch basins and for manholes shall conform to ASTM C478M [ASTM C478], Section 13 for either of the following material:
 - (a) Aluminum steps-ASTM B221M, [ASTM B211] Alloy 6061-T6 or 6005-T5.
 - (b) Reinforced plastic steps Steel reinforcing bar with injection molded plastic coating copolymer polypropylene. Polypropylene shall conform to ASTM D 4101.
- <u>712.23 Flashing Lights</u> Flashing Lights shall be power operated or battery operated as specified.
 - (a) Power operated flashing lights shall consist of housing, adapters, lamps, sockets, reflectors, lens, hoods and other necessary equipment designed to give clearly visible signal indications within an angle of at least 45 degrees and from 3 to 90 m [10 to 300 ft] under all light and atmospheric conditions.

Two circuit flasher controllers with a two-circuit filter capable of providing alternate flashing operations at the rate of not less than 50 nor more than 60 flashes per minute shall be provided.

The lamps shall be 650 lumens, 120 volt traffic signal lamps with sockets constructed to properly focus and hold the lamp firmly in position.

The housing shall have a rotatable sun visor not less than 175 mm [7 in] in length designed to shield the lens.

Reflectors shall be of such design that light from a properly focused lamp will reflect the light rays parallel. Reflectors shall have a maximum diameter at the point of contact with the lens of approximately 200 mm [8 in].

The lens shall consist of a round one-piece convex amber material which, when mounted, shall have a visible diameter of approximately 200 mm [8 in]. They shall distribute light and not diffuse it. The distribution of the light shall be asymmetrical in a downward direction. The light distribution of the lens shall not be uniform, but shall consist of a small high intensity portion with narrow distribution for long distance throw and a larger low intensity portion with wide distribution for short distance throw. Lenses shall be marked to indicate the top and bottom of the lens.

(b) Battery operated flashing lights shall be self- illuminated by an electric lamp behind the lens. These lights shall also be externally illuminated by reflex-reflective elements built into the lens to enable it to be seen by reflex-reflection of the light from the headlights of oncoming traffic. The batteries must be entirely enclosed in a case. A locking device must secure the case. The light shall have a flash rate of not less than 50 nor more than 60 flashes per minute from minus 30 °C [minus 20 °F] to plus 65 °C [plus 150 °F]. The light shall have an on time of not less than 10 percent of the flash cycle. The light beam projected upon a surface perpendicular to the axis of the light beam shall produce a lighted rectangular projection whose minimum horizontal dimension shall be 5 degrees each side of the horizontal axis. The effective intensity shall not have an initial value greater than 15.0 candelas or drop below 4.0 candelas during the first 336 hours of continuous flashing. The illuminated lens shall appear to be uniformly bright over its entire illuminated surface when viewed from any point within an angle of 9 degrees each side of the vertical axis and 5 degrees each side of the horizontal axis. The lens shall not be less than 175 mm [7 in] in diameter including a reflex-reflector ring of 13 mm [½ in] minimum width around the periphery. The lens shall be yellow in color and have a minimum relative luminous transmittance of 0.440 with a luminance of 2854° Kelvin. The lens shall be one-piece construction. The lens material shall be plastic and meet the luminous transmission requirements of this specification. The case containing the batteries and circuitry shall be constructed of a material capable of withstanding abuse equal to or greater than 1.21 mm thick steel [No. 18 U.S. Standard Gage Steel]. The housing and the lens frame, if of metal shall be properly cleaned, degreased and pretreated to promote adhesion. It shall be given one or more coats of enamel which, when dry shall completely obscure the metal. The enamel coating shall be of such quality that when the coated case is struck a light blow with a sharp tool, the paint will not chip or crack and if scratched with a knife will not powder. The case shall be so constructed and closed as to exclude moisture that would affect the proper operation of light. The case shall have a weep hole to allow the escape of moisture from condensation. Photoelectric controls, if provided, shall keep the light operating whenever the ambient light falls below 215 lx [20 foot candles]. Each light shall be plainly marked as to the manufacturer's name and model number.

If required by the Resident, certification as to conformance to these specifications shall be furnished based on results of tests made by an independent testing laboratory. All lights are subject to random inspection and testing. All necessary random samples shall be provided to the Resident upon request without cost to the Department. All such samples shall be returned to the Contractor upon completion of the tests.

- 712.32 Copper Tubing Copper tubing and fittings shall conform to the requirements of ASTM B88M Type A [ASTM B88, Type K] or better.
- 712.33 Non-metallic Pipe, Flexible Non-metallic pipe and pipe fittings shall be acceptable flexible pipe manufactured from virgin polyethylene polymer suitable for transmitting liquids intended for human or animal consumption.
- <u>712.34 Non-metallic Pipe, Rigid</u> Non-metallic pipe shall be Schedule 40 polyvinylchloride (PVC) that meets the requirement of ASTM D1785. Fittings shall be of the same material.
- <u>712.341 Metallic Pipe</u> Metallic pipe shall be ANSI, Standard B36.10, Schedule 40 steel pipe conforming to the requirements of ASTM A53 Types E or S, Grade B. End plates shall be steel conforming to ASTM A36/A36M.

Both the sleeve and end plates shall be hot dip galvanized. Pipe sleeve splices shall be welded splices with full penetration weld before galvanizing.

- 712.35 Epoxy Resin Epoxy resin for grouting or sealing shall consist of a mineral filled thixotropic, flexible epoxy resin having a pot life of approximately one hour at 10°C [50°F]. The grout shall be an approved product suitable for cementing steel dowels into the preformed holes of curb inlets and adjacent curbing. The sealant shall be an approved product, light gray in color and suitable for coating the surface.
- <u>712.36 Bituminous Curb</u> The asphalt cement for bituminous curb shall be of the grade required for the wearing course, or shall be Viscosity Grade AC-20 meeting the current requirements of Subsection 702.01 Asphalt Cement. The aggregate shall conform to the

requirements of Subsection 703.07. The coarse aggregate portion retained on the 2.36 mm [No. 8] sieve may be either crushed rock or crushed gravel.

The mineral constituents of the bituminous mixture shall be sized and graded and combined in a composite blend that will produce a stable durable curbing with an acceptable texture. Bituminous material for curb shall meet the requirements of Section 403 - Hot Bituminous Pavement.

712.37 Precast Concrete Slab Portland cement concrete for precast slabs shall meet the requirements of Section 502 - Structural Concrete, Class A.

The slabs shall be precast to the dimension shown on the plans and cross section and in accordance with the Standard Detail plans for Concrete Sidewalk Slab. The surface shall be finished with a float finish in accordance with Subsection 502.14(c). Lift devices of sufficient strength to hold the slab while suspended from cables shall be cast into the top or back of the slab.

<u>712.38 Stone Slab</u> Stone slabs shall be of granite from an acceptable source, hard, durable, predominantly gray in color, free from seams which impair the structural integrity and be of smooth splitting character. Natural color variations characteristic of the deposit will be permitted. Exposed surfaces shall be free from drill holes or indications of drill holes. The granite slabs in any one section of backslope must be all the same finish.

The granite slabs shall be scabble dressed or sawed to an approximately true plane having no projections or depressions over 13 mm [½ in] under a 600 mm [2 ft] straightedge or over 25 mm [1 in] under a 1200 mm [4 ft] straightedge. The arris at the intersection of the top surface and exposed front face shall be pitched so that the arris line is uniform throughout the length of the installed slabs. The sides shall be square to the exposed face unless the slabs are to be set on a radius or other special condition which requires that the joints be cut to fit, but in any case shall be so finished that when the stones are placed side by side no space more than 20 mm [3/4 in] shall show in the joint for the full exposed height.

Liftpin holes in all sides will be allowed except on the exposed face.

SECTION 717 ROADSIDE IMPROVEMENT MATERIAL

717.05 Mulch Binder. Change the third sentence to read as follows:

"Paper fiber mulch may be used as a binder at the rate of 2.3 kg/unit [5 lb/unit]."

SPECIAL PROVISION <u>SECTION 309</u> FULL DEPTH RECYCLED PAVEMENT

(With Foamed Asphalt)

<u>309.01 Description</u> This work shall consist removal of bituminous pavement from the existing roadway, hauling to an approved location to be stockpiled, pulverization of the existing roadway structure into a homogenous mass, treating the pulverized material with the foamed asphalt process, and the placing and compacting of this material to the lines, grades, and dimensions shown on the plans or established by the Resident.

MATERIALS

<u>309.02 Pulverized Material</u> Pulverized material shall consist of a portion, or the entire existing bituminous pavement and, if specified, a designated portion of the underlying gravel, pulverized and blended into a homogenous mass. Pulverized material will be processed to 100 percent passing a 50 mm [2 in] square mesh sieve.

<u>309.021 New Aggregate and Additional Recycled Material</u> New aggregate, if required by the contract or job mix, shall meet the requirements of Section 411.02 Untreated Aggregate Surface Course.

Recycled material shall consist of material from the project or from off-site stockpiles that have been processed, prior to use, to 100 percent passing a 50 mm [2 in] square mesh sieve. The Resident shall conditionally accept recycled material at the source; it shall be free of winter sand, granular fill, construction debris, and other materials not generally considered to be bituminous pavement.

<u>309.022 Asphalt Binder</u> The asphalt binder used in the foamed asphalt process shall be Performance Grade 64-28 meeting the requirements of Section 702.01.

<u>309.023 Portland Cement</u> The portland cement shall be Type I or II meeting the requirements of AASHTO M85-89.

<u>309.024 Lime</u> Lime for soil stabilization shall meet the requirements of AASHTO M216.

<u>309.025 Crusher Dust</u> Crusher dust, if required by the job mix, shall be free from friable or deleterious material, including excessive mica, and shall meet the following gradation requirements:

Sieve Size	Percent Passing
12.5 mm [1/2 in]	100
0.075 mm [No. 200]	10 - 15

<u>Water</u> Water shall be clean and free from deleterious concentrations of acids, alkalis, salts or other organic or chemical substances

EQUIPMENT

<u>309.03 Pulverizer</u> The modified milling or recycling machine shall be a Wirtgen Model WR2500, Caterpillar Model RR350, or equal, and, as a minimum, shall have the following features:

- A. A minimum power capability of 600 horsepower;
- B. Where the recycling depth exceeds 250 mm [10 in], the effective volume of the mixing chamber shall be increased in relation to the depth of cut;
- C. Two microprocessor-controlled systems, complete with 2 independent pumping systems and spraybars, to regulate the application of foamed bitumen stabilizing agent, separate from water (for increasing the moisture content of the recycled material), in relation to the forward speed and mass of the material being recycled;
- D. Two spraybars shall each be fitted with self-cleaning nozzles at a maximum spacing of one nozzle for each 155 mm [6 in] width of the chamber;
- E. The foamed bitumen shall be produced at the spraybar in individual expansion chambers into which both hot bitumen and water are injected under pressure through individual and separate small orifices that promote atomization. The rate of addition of water into hot bitumen shall be kept at a constant (percentage by mass of bitumen) by the same microprocessor;
- F. An inspection (or test) nozzle shall be fitted at one end of the spraybar that produces a representative sample of foamed bitumen;
- G. An electrical heating system capable of maintaining the temperature of all bitumen flow components above 150°C [300°F];
- H. A single bitumen feed pipe installed between the modified milling or recycling machine and the supply tanker. Circulating systems that incorporate a return pipe to the supply tanker shall not be used:
- I. The operator cabin shall be variable from right to left;
- J. A printer shall be included to record amounts of materials used.
- K. The recycler shall be fitted with a front breaker bar system to ensure that the reclaimed material is broken down to the sizing outlined in 309.02.

In addition to the above features, it is an essential part of this specification that the recycler be capable of exactly reproducing the foaming characteristics produced by the foam lab, to ensure compliance with the mix design as well as correct dispersion of the foamed asphalt. To ensure that the recycling process in the field reproduces the lab mix design, the recycler shall be fitted with the same type of foam expansion chambers as the lab foaming unit.

309.04 Liquid Mixer Unit or Distributor Only tankers with a capacity exceeding 10,000 L [2500 gal] shall be used to supply the recycling machine with bitumen. Each tanker shall be fitted with two recessed pin-type tow hitches, one in front and the other behind, thereby allowing the tanker to be pushed from behind by the recycling machine, and to push a water tanker in front. No leaking tanker will be permitted on the job site. In addition, each tanker shall be equipped with the following:

- A. A thermometer to show the temperature of the contents in the bottom third of the tank;
- B. A rear feed valve, with a minimum internal diameter of 75 mm [3 in], capable of draining the contents of the tank when fully opened;

- C. Insulation to retain heat; and
- D. A calibrated dipstick marked at intervals of no more than 100 L [25 gal], for measuring the contents of the tank.

<u>309.05 Placement Equipment</u> Placement of the full depth recycled material to the required slope and grade shall be done with an approved highway grader or by another method approved by the Resident.

<u>309.06 Rollers</u> The full depth recycled material shall be rolled with a vibratory pad/tamping foot roller, a vibratory steel drum soil compactor and a Type II pneumatic tire roller. The pad/tamping foot roller drum shall have a minimum of 112 tamping feet 73 mm [3 in] in height and a minimum contact area per foot of 110 cm² [17 in²]. The vibratory steel drum roller shall have a minimum 2.15 meter [84 in] width single drum. The pneumatic tire roller shall meet the requirements of Section 401.10 and the minimum allowable tire pressure shall be 586 kPa [85 psi].

MIX DESIGN

The Department will supply a mix design for the foamed asphalt based on test results from pavement and soil analysis taken to the design depth. The mix design, including the determination of optimum foaming characteristics of the asphalt binder, will be carried out using a Wirtgen WLB10 Foamed Bitumen Laboratory. The Department will provide the following information prior to construction:

- 1. Percent of bitumen to be used.
- 2. Percent of water to be used in the foaming process.
- 3. Quantity (if any) of crusher dust to be used.
- 4. Quantity of lime or cement to be added.
- 5. Optimum moisture content for proper compaction and dispersion of foamed asphalt.
- 6. Additional aggregate (if required).

After a test strip has been completed, it may be necessary for the Resident to make adjustments to the design water and/or additive quantities being incorporated into the reclaim material.

CONSTRUCTION REQUIREMENTS

309.07 Pulverizing The entire depth of existing pavement on the travel way shall be pulverized together with approximately 50 mm [2 in] of the underlying gravel into a homogeneous mass.

All pulverizing shall be done with equipment that will provide a homogeneous mass of pulverized material, processed in-place, which will pass a 50 mm [2 in] square mesh sieve.

309.08 Weather Limitations When foamed asphalt is used, full depth recycled work shall not be performed when the atmospheric temperature is below 10°C [50°F], during wet conditions, or when weather conditions are such that proper pulverizing, adding and mixing foamed asphalt are unfavorable to proper construction procedure, or compaction of the pulverized material cannot be accomplished. Spreading of lime or cement on the roadway ahead of the recycling machine will not be allowed when windy conditions adversely affect the operation.

<u>309.09 Surface Tolerance</u> The completed surface of the full depth recycled course shall be shaped and maintained to a tolerance, above or below the required cross sectional shape, of 10 mm [3/8 in].

309.10 Full Depth Recycling Procedure If required by the mix design in order to achieve proper dispersion of the foamed asphalt, a uniform layer of crusher dust shall be spread over the full width of the roadway. The material shall then be pulverized, processed, and blended into a homogeneous mass passing a 50 mm [2 in] square mesh sieve. Material found not pulverized down to a 50mm [2 in] size will be required to be reprocessed by the recycler with successive passes until approved by the Resident.

The material shall then be shaped to the cross-slope and grade shown on the plans, typicals, or as directed by the Resident. New aggregate or recycled pavement meeting the requirements of Section 309.021 - New Aggregate and Recycled Material, of this Special Provision, shall be added as necessary to restore cross-slope and/or grade. Locations will be shown on the plans or described in the construction notes; the Resident may add other locations while construction of the project is in progress. The Contractor will use recycled pavement to the extent it is available, in lieu of new aggregate.

The dry stabilizing agents (lime or cement) shall be spread uniformly over the full width of roadway to be recycled prior to each pass of the recycling machine, in a continuous process, either by means of a mechanical spreader or by hand. Dry stabilizing agents shall be spread at the prescribed rate of application provided by the Department. Foamed asphalt shall be incorporated into the material to a depth determined by the pavement design. These additives shall then be uniformly blended into a homogeneous mass until an apparent uniform distribution has occurred. The Resident may adjust the rate of application as necessary. The resultant material shall be graded and compacted to the cross-slope and profile shown on the plans or as directed by the Resident. The Contractor will also be responsible for re-establishing the existing profile grade.

Asphalt binder shall be added to the milling or recycling process by pumping from a mobile bulk tanker that is pushed from behind by the recycling machine. Tankers shall be equipped with a built-in thermometer to ensure that the bituminous stabilizing agent is maintained at $180^{\circ}\text{C} \pm 5^{\circ}\text{C}$ [$350^{\circ}\text{F} \pm 10^{\circ}\text{F}$]. Bitumen that has been heated above 220°C [425°F] shall not be used for producing foamed bitumen and shall be removed from the site. The system employed to add the foamed asphalt to the recycling process shall conform to the equipment requirements specified in these Special Provisions. The Contractor shall verify bituminous stabilizing agent (asphalt) usage quantities by measuring tanker volume every 300 meters [1000 ft] recycled. At the end of each workday the measurements shall be reported to the Resident.

Sufficient water shall be added during the recycling process to meet the moisture requirements as specified. Water shall be added only by means of the microprocessor control system on the recycling machine and care shall be taken to prevent excessive wetting.

<u>Test strip</u> The contractor shall assemble all items of equipment for the recycling operation on the first day of the foamed asphalt work. The Contractor shall construct a test strip for the project at a location approved by the Resident. The contractor shall have on site a pavement engineer expert in foamed asphalt work to control the test strip, advise on suitability of mixed material, bitumen dispersion within the mixed material, moisture control within the mixed material, compaction and surface finish. The test strip section is required to:

- A. Demonstrate that the equipment and processes can produce recycled layers to meet the requirements specified in these special provisions;
- B. Determine the effect on the grading of the recycled material by varying the forward speed of the recycling machine and the rotation rate of the milling drum; and;
- C. Determine the sequence and manner of rolling necessary to obtain the minimum compaction requirements.

The test strip shall be at least 100 m [330 ft] in length of a full lane-width (or a half-road width).

The Contractor shall repeat the test strip process until parameters of the material properties conform to the requirements specified herein and as directed by the Resident. If a test strip fails to meet the requirements outlined in this Special Provision, the contractor will be required to take corrective action to remedy the test strip defect to the satisfaction of the Resident at no additional cost to the Department. The repeated process of the test strip construction shall be done at the Contractor's expense. The corrective method shall be determined by the Contractor, as directed by the Resident.

Density of the recycled material will be determined by the Department using the nuclear method. After the test strip has been pulverized, the foamed asphalt added and mixed, and the roadway brought to proper shape, it will be rolled as directed until the nuclear density readings show an increase in dry density of less than 16 kg/m³ [1 pcf] for the final four roller passes. This density will be used as the target density for the recycled material. The remaining full depth recycled material shall be compacted to a minimum density of 98% of the target density as determined in the control section.

After compaction, the roadway surface shall be treated with a light application of water, and rolled with pneumatic-tired rollers to create a close-knit texture. The finished layer shall be free from:

- A. Surface laminations.
- B. Segregation of fine and coarse aggregate, and
- C. Corrugations or any other defects that may adversely affect the performance of the layer.

The Contractor shall protect and maintain the recycled layer until the next layer or surfacing is applied. Frequent light watering shall be performed to prevent the surface from drying out. Any damage or defects in the layer shall be repaired immediately as directed by the Resident. An even and uniform surface shall be maintained. Repairs and maintenance for the recycled layers during, and after the curing period has elapsed, resulting from damage caused by traffic, weather or environmental conditions, or resulting from damage caused by the Contractor's operations or equipment, shall be completed at no additional cost to the Department. Any repair methods shall be subject to approval by the Resident prior to any repairs being made.

<u>309.11 Miscellaneous</u> No new pavement shall be placed on the full depth recycled pavement until a curing period of **36 hours** has elapsed.

<u>309.12 Method of Measurement</u> Full Depth recycled material (with Foamed Asphalt) will be measured by the square meter. Materials added to restore grade and/or cross-slope in areas not shown on the plans or described in the construction notes shall be measured in vehicles at the point of delivery or by some other method mutually agreeable to the Contractor and the Resident.

<u>309.13 Basis of Payment</u> The accepted quantity of Full Depth Recycled Payement with Foamed Asphalt shall be paid for at the contract unit price per square meter, complete in-place to the specified limits, which price shall be full compensation for furnishing all equipment and labor for removal, pulverizing, blending, placing, grading, compacting and for all incidentals necessary to complete the work including asphalt binder, water, portland cement, lime, and crusher dust.

Adding materials to restore grade and/or cross-slope in areas shown on the plans or described in the construction notes will not be paid separately; this work will be considered incidental to the item. Adding materials in areas not shown on the plans or described in the construction notes will be paid under the appropriate contract item.

Payments will be made under:

Pay Item	Pay Unit
309.33 Full Depth Recycled Pavement With Foamed Asphalt 3in (75mm) depth	Square Meter (SY)
309.34 Full Depth Recycled Pavement With Foamed Asphalt 4in (100mm) depth	Square Meter (SY)
309.35 Full Depth Recycled Pavement With Foamed Asphalt 5in (125mm) depth	Square Meter (SY)
309.36 Full Depth Recycled Pavement With Foamed Asphalt 6in (150mm) depth	Square Meter (SY)

SPECIAL PROVISION <u>SECTION 401</u> HOT MIX ASPHALT PAVEMENT

Section 401 - Hot Mix Asphalt Pavement, subsection 401.222 Pay Factor (PF) (Methods A and B), paragraph 1 through 3, has been deleted and replaced with the following revision. These revisions will remain in effect for all Hot Mix Asphalt Pavements to be placed in calendar year 2003.

All Hot Mix Asphalts Pavements to be placed in calendar year 2004 will be governed by the limits outlined in Section 401, subsection 401.222 of the Standard Specifications.

"401.222 Pay Factor (PF) (Methods A and B) The Department will use density, Performance Graded Asphalt Binder content, voids @N_d, VMA, VFB, F/B^e, and the screen sizes listed in Table 10 for the type of HMA represented in the JMF. The Department will evaluate materials using the following price adjustment factors under Section 106.7 - Quality Level Analysis.

The Department will apply price adjustments to the appropriate Hot Mix Asphalt Pavement pay items. Price adjustments shall be applied based on test results for each lot. If any pay factor for any single property (or composite gradation) falls below 0.85, the Contractor shall shut down the HMA plant. If any single pay factor for PGAB Content, VMA, or Air Voids falls below 0.75 for Method A or 0.83 for Method B, the composite pay factor for PGAB Content, VMA, and Air Voids shall be 0.55 for Method A or 0.70 for Method B.

If the pay factor for Density falls below 0.75 for Method A or 0.83 for Method B, all of the cores will be randomly recut by Sublot. A new pay factor will be calculated that combines all initial and retest results. If the resulting pay factor is below 0.75 for Method A or below 0.83 for Method B, the entire Lot shall be removed and replaced with material meeting the specifications at no additional cost to the Department. Pay factors equal to or greater than the reject level will be paid accordingly."

Eustis STP-7644(00)X Highway Reconstruction Foamed Asphalt Treatment Route 27 August 4, 2003

SPECIAL PROVISION SECTION 403 HOT MIX ASPHALT OVERLAY

Desc. of Course	Grad. Design	Item Number	Bit Cont. % of Mix	Total Thick	No. Of Layers	Comp. Notes			
Foamed Asphalt Treated									
Full Construction Areas									
Mainline Travelway									
Wearing	12.5mm	403.208	N/A	35mm	1	4,7,12,22			
Base	12.5mm	403.213	N/A	40mm	1	4,7,			
Foamed Asphalt Treated									
Full Construction Areas									
Normal Shoulders									
Wearing	12.5mm	403.208	N/A	35-30mm	1	4,712			
Base	12.5mm	403.213	N/A	40-10mm	1	4,7			
		<u>Foan</u>	ed Asphalt Tro	<u>eated</u>					
Full Construction Areas									
Curbed, Superelevated Lowside Shoulders									
Wearing	12.5mm	403.208	N/A	35mm	1	4,712			
Base	12.5mm	403.213	N/A	40mm	1	4,7			
Drives, Misc.									
Wearing	9.5mm	403.209	N/A	50mm	1/more	2,3,9,10,13			

COMPLEMENTARY NOTES

- 2. The density requirements are waived.
- 3. The design traffic level for mix placed shall be <0.3 million ESALS.
- 4. The design traffic level for mix placed shall be 0.3 to <3 million ESALS
- 7. Section 106.6 Acceptance, (1) Method A.
- 9. Section 106.6 Acceptance, (2) Method C.
- 10. A "FINE" 9.5 mm mix with a gradation above or through the restricted zone shall be used for this item.
- 12. A mixture meeting the gradation of 9.5 mm hot mix asphalt may be used at the option of the contractor.
- 13. A mixture meeting the requirements of section 703.09 Grading 'D', with a minimum PGAB content of 6%, and the limits of Special Provision 401, Table 9 (Drives and Sidewalks) for PGAB content and gradation may be substituted for this item. A job mix formula shall be submitted to the department for approval.
- 22. The final pavement surface shall be evaluated for smoothness in accordance with the Standard Specifications, revision of December 2002, Section 402 Pavement Smoothness.

Eustis STP-7644(00)X Highway Reconstruction Foamed Asphalt Treatment Route 27 August 4, 2003

Tack Coat

A tack coat of emulsified asphalt, RS-1 or HFMS-1, Item #409.15 shall be applied to any existing pavement and the **Foamed Asphalt surface** at a rate of approximately 0.08 L/m², and on milled pavement approximately 0.2 L/m², prior to placing a new course. A fog coat of emulsified asphalt shall be applied between shim / intermediate course and the surface course, at a rate not to exceed 0.08 L/m².

Tack used between new layers of pavement will be paid for at the contract unit price for Item 409.15 Bituminous Tack Coat.

Carrabassett Valley Airport SAIP 11.1010(15) Runway FDR and Resurface August 4, 2003

SPECIAL PROVISION SECTION 403 HOT MIX ASPHALT OVERLAY

Desc. of Course	Grad. Design	Item Number	Bit Cont. % of Mix	Total Thick	No. Of Layers	Comp. Notes			
Full Depth Reclaimation									
			Runway						
Wearing	9.5mm	403.210	N/A	30 mm	1	4,7			
Base	9.5mm	403.210	N/A	30 mm	1	4,7			

COMPLEMENTARY NOTES

- 4. The design traffic level for mix placed shall be 0.3 to <3 million ESALS.
- 7. Section 106.6 Acceptance, (1) Method A.

Tack Coat

A tack coat of emulsified asphalt, RS-1 or HFMS-1, Item #409.15 shall be applied to any existing pavement or **Full Depth Reclaimed** base at a rate of approximately 0.08 L/m^2 , and on milled pavement approximately 0.2 L/m^2 , prior to placing a new course. A fog coat of emulsified asphalt shall be applied between shim / intermediate course and the surface course, at a rate not to exceed 0.08 L/m^2 . Tack used between layers of pavement will be paid for at the contract unit price for Item 409.15 Bituminous Tack Coat.

SPECIAL PROVISION <u>SECTION 652</u> MAINTENANCE OF TRAFFIC

<u>Approaches</u>. Approach signing shall include the following signs shown on the Standard Maintenance Traffic in Construction Zones for "Project Approach Signing-Two Way Traffic".

Road Work Next __ Miles
Road Work Ahead
Road Work 1000 Feet
Road Work 500 Feet with 35 MPH Advisory Speed Plate
End Road Work

Work Areas. At each work site, signs and channelizing devices as shown on the Standard Maintenance of Traffic in Construction Zones shall be used as directed by the Resident.

Signs include:

End Work Zone Speed
Work Zone
Speed Limit Plate
Fines Double
Work Area Ahead with 25 MPH Advisory Speed Plate
Work Area Ahead
One Lane Road Ahead
Flagger Sign
Trucks Entering
Be Prepared to Stop

Other typical signs include:

Pavement Ends Low Shoulder Directional Arrows Bump

The above lists of Approach signs and Work Area signs are representative of the contract requirements. Other sign legends may be required.

The Contractor shall provide a minimum roadway width of 7 m [22 feet] for two way traffic and 3.5 m [11 feet] for one way traffic. Two way traffic operation shall be provided at all times the Contractor is not working on the project. One way traffic shall be controlled through work areas by Flaggers. Flaggers equipped with radios, field

telephones or other means of direct communication shall be used to control one way traffic during paving operations.

<u>Channelization</u>. Channelization devices shall include the following:

Type I Barricades Type II Barricades Vertical Panels Markers Drums Cones

Channelization devices shall be installed and maintained at the spacing determined by the MUTCD through the work area.

Channelizing devices consisting of drums or barricades at a maximum spacing of 15 m [50 feet] shall be used in guardrail areas when neither the existing guardrail nor the new guardrail is in place.

Paving. When paving operations or shoulder grading leave a three inch or less exposed vertical face at the edge of the traveled way, channelizing devices shall be placed 0.60 m [2 feet] outside the edge of pavement at intervals not exceeding 200 m [600 feet] and a 1200 mm x 1200 mm [48 inch x 48 inch] W8-9 Low Shoulder sign shall be placed at a maximum spacing of 0.8 km [1/2 mile].

When paving operations leave more than a three inch exposed vertical face at the edge of a traveled way, the Contractor shall place shoulder material for a width of at least 1.22 m [4 feet] to meet the pavement grade and place channelizing devices as above before the lane is opened to traffic.

<u>Temporary Centerline</u>. A temporary centerline of reflectorized traffic paint shall be marked each day on all new pavement to be used by traffic. The temporary centerline shall conform to the standard markings patterns used for permanent markings and will be paid for under Pay Item 627.76.

Failure to apply a temporary centerline daily will result in suspension of paving until temporary markings are applied to all previously placed pavement.

Eustis 7644(00)X Aug 1, 2003

<u>Roadside Recovery Area.</u> The Contractor shall not store material nor park equipment within 3 m [10 feet] of the edge of the established travel lanes and equipment parked overnight within 9 m [30 feet] of the edge of a travel lane shall be clearly marked by channelizing devices or other reflective devices.

<u>Speed Limits in Work Zone</u>. The Contractor shall sign all approved reduced speed limits on construction projects according to APM #431 - A Policy on the Establishment of Speed Limits in Work Zones.

SPECIAL PROVISION SECTION 656

Temporary Soil Erosion and Water Pollution Control

The following is added to Section 656 regarding Project Specific Information and Requirements. All references to the Maine Department of Transportation Best Management Practices for Erosion and Sediment Control (a.k.a. Best Management Practices manual or BMP Manual) are a reference to the latest revision of said manual. The "Table of Contents" of the latest version is dated "1/19/00" (available at http://www.state.me.us/mdot/mainhtml/bmp/bmpjan2000.pdf.) **Procedures specified shall be according to the BMP Manual unless stated otherwise.**

Any and all references to "bark mulch" or "composted bark mix" shall be a reference to "Erosion Control Mix" in accordance with *Standard Specification*, *Section 619 - Mulch*.

Project Specific Information and Requirements

The following information and requirements apply specifically to this Project. The temporary soil erosion and water pollution control measures associated with this work shall be addressed in the SEWPCP.

- 1. This project is in the **Dead River and South Branch Carrabassett River** watersheds, which are listed as a **CLASS A, and CLASS AA** respectively, and is considered **SENSITIVE** in accordance with the BMP Manual. The Contractor's SEWPCP shall comply with Section II.B., <u>Guidelines for Sensitive Waterbodies</u> in the BMP Manual.
- 2. Newly disturbed earth shall be mulched by the end of each workday. Mulch shall be maintained on a daily basis.
- 3. The SEWPCP shall describe the location and method of temporary erosion and sediment control for existing and proposed catch basins, outlet areas and culvert inlets and outlets.
- 4. Dust control items other than those under *Standard Specification*, *Section 637* <u>Dust Control</u>, if applicable, shall be included in the plan.
- 5. Permanent slope stabilization measures shall be applied within one week of the last soil disturbance. Permanent seeding shall be done in accordance with *Standard Specification*, *Section 618 Seeding* unless the Contract states otherwise.
- 6. Culvert inlet and outlet protection shall be installed within 48 hours of culvert installation, or prior to a storm event, whichever is sooner.
- 7. **After November 1,** the Contractor shall use winter stabilization methods, such as Erosion Control Mix as specified in *Standard Specification, Section 619 Mulch*. If required, spring procedures for permanent stabilization shall also be described in the plan. Use of this product for over-winter temporary erosion control will be incidental to the contract and be paid for as part of Pay Item 656.75.
- 8. All disturbed ditches shall be stabilized by the end of each workday. Stabilization shall be maintained on a daily basis. Erosion control blanket shall be installed in the bottoms

SPECIAL PROVISION SECTION 656

Temporary Soil Erosion and Water Pollution Control

- of all ditches except where a stone lining is planned. Seed shall be applied prior to the placement of the blanket.
- 9. If check dams are used, they shall be constructed of stone in accordance with BMP Manual, Section 9.
- 10. The Contractor's SEWPCP shall address in-stream work in accordance with *Special Provision 105-Environmental Requirements* at the following locations:

sta. 1+485

sta. 1+755

- 11. Stream flow shall be maintained at all times.
- 12. A cofferdam sedimentation basin is required if cofferdams are used. The basin shall be located in an upland area where the water can settle and seep into the ground or be released slowly to the resource in a manner that will not cause erosion. The location of such a cofferdam sedimentation basin shall be addressed in the SEWPCP.

Permits	3	Cultural	Resources	Umlic
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PIN #: 7644.00 Location: Eustis Photographs □ Database/Pi	Permit Member: Rhonda Poirier ojex ⊠ Package to ENV Coordinator: 5/28/03	
	OURCES N/A □ Applicable □ Approved □ N/A □ Applicable □ Approved □ N/A □ Applicable □ Approved □	
✓ 4(f) and 6(f) Section 4(f) LAWCON 6(f)	N/A ⊠ Applicable□ Approved □ N/A ⊠ Applicable□ Approved □	
Maine Department of Environmenta N/A	l Protection (MDEP) Site Location of Development Approved □	
☐ No ☒. If no, the project is exen	highway and bridge system, such as a maintenance lot, building/parking facility upt. hich the project is located have a comprehensive plan consistent with the Growt. If no, the project is exempt.	
Eagle Nest N/Piping Plover N/	es and Wildlife (MDIFW) Essential Habitat A ⊠ Applicable □ Approved □ A ⊠ Applicable □ Approved □ A ⊠ Applicable□ Approved □	
Maine Department of Conservation N/A ☑ Applicable □	Public Lands, Submerged Land Lease	
Environmental Protection Agency (EPA), National Pollutant Discharge Elimination System (NPDES) □ NOI Submitted □	
► Land Use Regulation Commission (L	URC) ☑ Not Applicable □ □ Approved □ □ Approved □	
Maine Department of Environme No permit re Exempt □ PBR ⊠ Tier 1 □ Tier 2 □ Tier 3 □	ntal Protection (MDEP), Natural Resource Protection Act quired □ (Must use erosion and sediment control and not block fish passage.) Approved □ Approved □ Approved □ Approved □	
Army Corps of Engineers (ACOE), S No permit required □ Category 1-N Category 2□ Category 3□ IN-WATER TIMING RESTRICTION	Approved □ Approved □	Act.
	uly 15-October 1; plus do not block fish passage from Sept. 15-Oct. 15.	

^{*} Boxes marked in red indicate items that are attached and need to be placed in the contract by the Project Manager.

DEPARTMENT OF ENVIRONMENTAL PROTECTION (DEP) PERMIT BY RULE NOTIFICATION FORM

(For use with DEP Regulation, Chapter 305)

■ MDOT PIN: 7644.00

Name of Applicant: State of Maine Department of Transportation

Mailing Address: 16 Station State House

Daytime Telephone #: (207)-624-3105

Name of Transportation

Name of Contact: David Gardner

State: Me. Zip Code: 04330-0016

Name of Wetland, Water Body or Stream: unnamed streams

Detailed Directions to Site: From Augusta, take Route 27 to Eustis. The stream is approximately 1.75 miles past Eustis Ridge Road, or 6 miles past the Coplin town line.

Town/City: Eustis

Map #: N/A

Lot #: N/A

County: Franklin

Description of Project:. This is a culvert replacement. It is part of a highway improvement project along a 1.3 mile stretch of Route 27 in Eustis. The project will be performed in accordance with erosion control measures conforming with the latest versions of the *State of Maine Department of Transportation Standard Specifications for Highways and Bridges* and the *Department of Transportation's Best Management Practices for Erosion and Sediment Control.*

Part of a larger project?

⊠Yes □No

(CHECK ONE) This project... ⊠does □ does not ...involve work below mean low water.

I am filing notice of my intent to carry out work which meets the requirements for Permit By Rule (PBR) under DEP Regulation, Chapter 305. I have a copy of PBR Sections checked below. I have read and will comply with all of the standards.

□Sec. (2) Soil Disturbance □Sec. (8) Shoreline stabilization □Sec. (14) Piers, Wharves & Pilings □Sec. (9) Utility Crossing □Sec. (15) Public Boat Ramps ☐Sec. (3) Intake Pipes □Sec. (4) Replacement of Structures □Sec. (10) Stream Crossing □Sec. (16) Coastal Sand Dune Projects ⊠Sec. (11) State Transport. Facilities □Sec. (17) Transfers/Permit Extension ☐Sec. (5) REPEALED □Sec. (6) Movement of Rocks or Vegetation ☐Sec. (12) Restoration of Natural Areas □Sec. (18) Maintenance Dredging □Sec. (13) F&W Creation/Enhance/Water Quality Improvement ☐Sec. (7) Outfall Pipes

I authorize staff of the Departments of Environmental Protection, Inland Fisheries & Wildlife, and Marine Resources to access the project site for the purpose of determining compliance with the rules. I also understand that this permit is not valid until approved by the Department or 14 days after receipt by the Department, whichever is less.

I have attached all of the following required submittals. NOTIFICATION FORMS CANNOT BE ACCEPTED WITHOUT THE NECESSARY ATTACHMENTS:

■ A \$50 (non-refundable) payment shall be done by internal billing.

■ Attach a U.S.G.S. topo map or Maine Atlas & Gazetteer map with the project site clearly marked.

□ Attach photographs showing existing site conditions (unless not required under standards).

Signature of Applicant:

John E. Dority, Chief Engineer

Date: <u>OS/OB/S3</u>

Keep the bottom copy as a record of permit. Send the form with attachments via certified mail to the Maine Dept. of Environmental Protection at the appropriate regional office listed below. The DEP will send a copy to the Town Office as evidence of the DEP's receipt of notification. No further authorization by DEP will be issued after receipt of notice. Permits are valid for two years. Work carried out in violation of any standard is subject to enforcement action.

AUGUSTA DEP STATE HOUSE STATION 17 AUGUSTA, ME 04333-0017 (207)287-2111 PORTLAND DEP 312 CANCO ROAD PORTLAND, ME 04103 (207)822-6300 BANGOR DEP 106 HOGAN ROAD BANGOR, ME 04401 (207)941-4570 PRESQUE ISLE DEP 1235 CENTRAL DRIVE PRESQUE ISLE, ME 04769 (207)764-0477

OFFICE USE ONLY

PBR#

Ck.#

FP

Staff

Staff

Date

Acc. Date

Def. Date

After Photos

Chapter 305: PERMIT BY RULE Section 11 State Transportation Facilities

1. Introduction. A "permit by rule" or "PBR", when approved by the Department of Environmental Protection (DEP), is an approval for an activity that requires a permit under the Natural Resources Protection Act (NRPA). Only those activities described in this chapter may proceed under the PBR process. A PBR activity will not significantly affect the environment if carried out in accordance with this chapter, and generally has less of an impact on the environment than an activity requiring an individual permit. A PBR satisfies the Natural Resources Protection Act (NRPA) permit requirement and Water Quality Certification requirement.

If a proposed activity is not described in this chapter, or will not be conducted in accordance with the standards of this chapter, the applicant must obtain an individual permit prior to beginning the activity.

- **A.** Location of activity. The location of an activity may affect whether an activity qualifies for PBR, and whether review by the Department of Inland Fisheries and Wildlife is required.
 - (1) Type of resource. For some types of activities, the availability of a PBR is affected by the type of natural resource in or adjacent to which the activity is proposed. For example, an applicant proposing an activity consisting of "Movement of rocks or vegetation" may receive a PBR only if the activity will take place in a great pond, river, stream or brook. Limitations concerning the location of activities are addressed in the "Applicability" provision in each section of this chapter.
 - (2) Essential habitat. Essential habitats include areas critical to the survival of threatened and endangered species such as the bald eagle, least tern, roseate tern, and piping plover. If the activity is located in essential habitat, such as near an eagle nesting site, a PBR is only available if the applicant obtains written approval from the Department of Inland Fisheries and Wildlife (IF&W). This approval from IF&W must be submitted to the DEP with the PBR notification form, and the applicant must follow any conditions stated in the IF&W approval.
- NOTE: Maps showing areas of essential habitat are available from the Department of Inland Fisheries and Wildlife regional headquarters, municipal offices, the Land Use Regulation Commission (for unorganized territories) and DEP regional offices. If the activity is located in essential habitat, IF&W must be contacted to request and obtain a "certification of review and approval".
- **B.** Notification. The applicant must file notice of the activity with the DEP prior to beginning work on the activity. The notification must be on a form provided by the DEP and must include any submissions required in this chapter. The applicant must keep a copy to serve as the permit.

The notification form must be sent to the DEP by certified mail (return receipt requested), or hand delivered to the DEP and date stamped by the department.

C. Effective period

(1) Beginning of period. The PBR becomes effective 14 calendar days after the DEP receives the notification form, unless the DEP approves or denies the PBR prior to that date. If the DEP does not speak with or write to the applicant within this 14 day period regarding the PBR notification, the applicant may proceed to carry out the activity.

There are three exceptions regarding the effective date of an approved PBR:

- (a) Activities listed in Section 10 (Stream crossings) occurring in association with forest management are exempt from the 14 day waiting period.
- (b) Activities listed in Section 2 (Soil disturbance) and Section 10 (Stream crossings) performed or supervised by individuals currently certified in erosion control practices by the DEP are exempt from the 14 day waiting period. To be certified in erosion control practices, an individual must successfully complete all course requirements of the Voluntary Contractor Certification Program administered by the DEP's Nonpoint Source Training and Resource Center.
- (c) Activities that are part of a larger project requiring a permit under the Site Location of Development or the Storm Water Management Acts may not proceed until any required permit under those laws is obtained.
- NOTE: Activities that are part of a larger project may require other permits from the DEP also.

 These other laws may prohibit the start of construction of any part of the project unless a permit under that law is obtained. In these cases, while not a violation of this rule, starting work on a PBR approved activity would be a violation of those other applicable laws.
- (2) End of period. The PBR is generally effective for 2 years from the date of approval, except that a PBR for "Replacement of structures" under Section 4 is effective for 3 years.
- NOTE: Activities that qualify under this chapter may need to meet other local, state and federal requirements. Examples -- (1) If an activity extends below the low water line of a lake, coastal wetland or international boundary water, the applicant should contact the Bureau of Parks and Lands (287-3061) concerning possible lease or easement requirements, or (2) If an activity will involve work below the mean high water line in navigable waters of the United States, the applicant should contact the Army Corps of Engineers (623-8367).
- **D. Discretionary authority.** Notwithstanding compliance with the PBR applicability requirements and standards set forth in this chapter, the DEP may require an individual permit application to be filed in any case where credible evidence indicates that the activity:
 - (1) May violate the standards of the NRPA (38 M.R.S.A. Section 480-D);
 - (2) Could lead to significant environmental impacts, including cumulative impacts; or
 - (3) Could adversely impact a resource of special concern.

If an individual permit is required pursuant to this subsection, the DEP shall notify the applicant in writing within the 14 calendar day waiting period described in sub-section (C) above. When the DEP notifies an applicant than an individual permit is required, no work may be conducted unless and until the individual permit is obtained.

E. Violations. A violation of law occurs when a person, or his or her agent, performs or causes to be performed any activity subject to the NRPA without first obtaining a permit from the DEP, or acts contrary to the provisions of a permit. The person, his or her agent, or both, may be held

responsible for the violation. Commonly, the "person" is the landowner, and the "agent" is the contractor carrying out the activity. A violation occurs when:

- (1) An activity occurs that is not allowed under PBR, whether or not a PBR notification form has been filed with and/or approved by the DEP;
- (2) An activity occurs that is allowed under PBR, but a PBR for the activity has not become effective prior to the beginning of the activity; or
- (3) An activity occurs that is allowed under PBR and a PBR for the activity is in effect, but the standards specified in this chapter are not met.

See the "applicability" provision under each activity for rules concerning what activities are allowed under PBR. A PBR is only valid for the person listed on the notification form, or for his or her agent.

Each day that a violation occurs or continues is considered a separate offense. Violations are subject to criminal penalties and civil penalties of not less than \$100 nor more than \$10,000 for each day of that violation (38 M.R.S.A. Section 349).

NOTE: A local Code Enforcement Officer (CEO) may take enforcement action for a violation of the Natural Resources Protection Act if he or she is authorized to represent a municipality in District Court, and he or she has been certified as familiar with court procedures, 30-A M.R.S.A. Section 4452(7).

Chapter 305 Section 11

State transportation facilities

A. Applicability

- (1) This section applies to the maintenance, repair, reconstruction, rehabilitation, replacement or minor construction of a State Transportation Facility carried out by, or under the authority of, the Maine Department of Transportation or the Maine Turnpike Authority, including any testing or preconstruction engineering, and associated technical support services.
- (2) This section does not apply to an activity within a coastal sand dune system.

NOTE: The construction of a transportation facility other than roads and associated facilities may be subject to the Storm Water Management Law, 38 M.R.S.A. Section 420-D.

B. Standards

- (1) Photographs of the area to be altered by the activity must be taken before work on the site begins. The photographs must be kept on file and be made available at the request of the DEP.
- (2) The activity must be reviewed by the Department of Inland Fisheries and Wildlife, the Department of Marine Resources, the Atlantic Salmon Authority, and the DEP's Division of Environmental Assessment prior to the notification being filed with the DEP. The activity must be performed according to any recommendations from these authorities.
- (3) The activity must be performed in accordance with erosion control measures conforming with the State of Maine Department of Transportation Standard Specifications for Highways and Bridges Revision of April 1995 and with the Department of Transportation's Best Management Practices for Erosion and Sediment Control, September 1997.

NOTE: Guidance on the use of erosion control best management practices can be obtained from the on site Construction Manager.

- (4) Alignment changes may not exceed a distance of 200 feet between the old and new center lines in any natural resource.
- (5) The activity may not alter more than 300 feet of shoreline (both shores added together) within a mile stretch of any river, stream or brook, including any bridge width or length of culvert.
- (6) The activity may not alter more than 150 feet of shoreline (both shores added together) within a mile stretch of any outstanding river segment identified in 38 M.R.S.A. 480-P, including any bridge width or length of culvert.
- (7) The activity must minimize wetland intrusion. The activity is exempt from the provisions of Chapter 310, the Wetland Protection Rules, if the activity alters less than 15,000 square feet of natural resources per mile of roadway (centerline measurement) provided that the following impacts are not exceeded within the 15,000 square foot area:

- (a) 1,000 square feet of coastal wetland consisting of salt tolerant vegetation or shellfish habitat; or
- (b) 5,000 square feet of coastal wetland not containing salt tolerant vegetation or shellfish habitat; or
- (c) 1,000 square feet of a great pond.

All other activities must be performed in compliance with all sections of Chapter 310, the Wetland Protection Rules, except 310.2(C), 5(A), 9(1), 9(B) and 9(C).

- (8) The activity may not permanently block any fish passage in any watercourse containing fish. The applicant must improve passage beyond what restriction may already exist unless the Department of Inland Fisheries and Wildlife, the Department of Marine Resources, the Atlantic Salmon Authority and the DEP's Division of Environmental Assessment concur that the improvement is not necessary.
- (9) Rocks may not be removed from below the normal high water line of any coastal wetland, freshwater wetland, great pond, river, stream or brook except to the minimum extent necessary for completion of work within the limits of construction.
- (10) If work is performed in a river, stream or brook that is less than three feet deep at the time and location of the activity, with the exception of culvert installation, the applicant must divert flow away from the activity while work is in progress.
 - (a) Diversion may be accomplished by the use of stable, inert material. No more than two thirds (2/3) of stream width may be diverted at one time.
 - (b) Any material used to divert water flow must be completely removed upon completion of the activity, and the stream bottom must be restored to its original condition.
 - (c) A pump may be operated, where necessary, for a temporary diversion. The pump outlet must be located and operated such that erosion or the discharge of sediment to the water is prevented.

NOTE: Guidance on the appropriate location of a diversion and materials which should be used for a stream diversion can be obtained from the on site Construction Manager.

- (11) Wheeled or tracked equipment may not operate in the water. Equipment operating on the shore may reach into the water with a bucket or similar extension. Equipment may cross streams on rock, gravel or ledge bottom.
- (12) All wheeled or tracked equipment that must travel or work in a vegetated wetland area must travel and work on mats or platforms.
- (13) Any debris or excavated material must be stockpiled either outside the wetland or on mats or platforms. Hay bales or silt fence must be used, where necessary, to prevent sedimentation. Any debris generated during the activity must be prevented from washing downstream and must be removed from the wetland or water body. Disposal of debris must be in conformance with the Maine Hazardous Waste, Septage and Solid Waste Management Act, 38 M.R.S.A. Section 1301 et seq.

- (14) Work below the normal high water line of a great pond, river, stream or brook must be done at low water except for emergency work or work agreed to by the resource agencies listed in paragraph 2 above. Measures, such as a silt boom or staked fencing, must be employed to reduce and isolate turbidity.
- (15) Perimeter controls must be installed before the work starts. Disturbance of natural resources beyond the construction limits shown on the plans is not allowed under this rule.

NOTE: Guidance on the location of construction limits can be obtained from the on site Construction Manager.

- (16) The use of untreated lumber is preferred. Lumber pressure treated with chromated copper arsenate (CCA) may be used, provided it is cured on dry land in a manner that exposes all surfaces to the air for a period of at least 21 days prior to construction. Wood treated with creosote or pentachlorophenol may not be used where it will contact water.
- (17) A temporary road for equipment access must be constructed of crushed stone, blasted ledge, or similar materials that will not cause sedimentation or restrict fish passage. Such roads must be completely removed at the completion of the activity. In addition, any such temporary roads which are in rivers, streams or brooks, must allow for a passage of stormwater flows associated with a 10-year storm.
- (18) Soil may not be disturbed during any period when soils are saturated due to rain or snow melt, except as necessary to protect work in progress or as required for bridge maintenance activities. Areas where soils are saturated (i.e. water drips from the soil when squeezed by hand, or the soil is capable of being rolled into a rod 1/8th inch in diameter that does not crumble) must be immediately mulched if they are disturbed.
- (19) Disturbed soil must be protected within one week from the time it was last actively worked, and prior to any storm event, using temporary or permanent measures such as the placement of riprap, sod, mulch, erosion control blankets, or other comparable measures.
- (20) Hay bale or straw mulch, where used, must be applied at a rate of at least one bale per 500 square feet (1 to 2 tons per acre).
- (21) If mulch is likely to be moved because of steep slopes or wind exposure, it must be anchored with netting, peg and twine, binder or other suitable method and must be maintained until a catch of vegetation is established over the entire disturbed area.
- (22) In addition to the placement of riprap, sod, erosion control blankets or mulch, additional steps must be taken where necessary to prevent sedimentation of the water Evidence of sedimentation includes visible sheet, rill or gully erosion, discoloration of water by suspended particles and/or slumping of banks. Silt fences, staked hay bales and other sedimentation control measures, where planned for, must be in place prior to the commencement of an activity, but must also be installed whenever necessary to prevent erosion and sedimentation.

NOTE: Guidance on the location and proper installation of erosion control measures can be obtained from the on site Construction Manager.

- (23) Temporary erosion control measures must be maintained and inspected weekly until the site is permanently stabilized with vegetation or other permanent control measures. Erosion control measures must also be inspected immediately prior to and following storms.
- (24) Permanent erosion control measures protecting all disturbed areas must be implemented within 30 days from the time the areas were last actively worked, or for fall and winter activities by the following June 15, except where precluded by the type of activity (e.g. riprap, road surfaces, etc.). The permanent erosion control measures must be maintained.
- (25) The applicant shall immediately take appropriate measures to prevent erosion or sedimentation from occurring or to correct any existing problems, regardless of the time of year.
- (26) Non-native species may not be planted in restored areas.
- (27) Disposal of debris must be in conformance with Maine Hazardous Waste, Septage and Solid Waste Management Act, 38 M.R.S.A. Sections 1301 et seq.
- (28) Disturbance of vegetation must be avoided, if possible. Where vegetation is disturbed outside of the area covered by any road or structure construction, it must be reestablished immediately upon completion of the activity and must be maintained.
- (29) A vegetated area at least 25 feet wide must be established and maintained between any new stormwater outfall structure and the high water line of any open water body. A velocity reducing structure must be constructed at the outlet of the stormwater outfall that will create sheet flow of stormwater, and prevent erosion of soil within the vegetated buffer. If the 25 foot vegetated buffer is not practicable, the applicant must explain the reason for a lesser setback in writing. Approval from the DEP must be in writing and any recommendations must be incorporated into the activity.
- **C. Definitions.** The following terms, as used in this chapter, have the following meanings, unless the context indicates otherwise:
 - (1) Diversion. A rerouting of a river, stream or brook to a location outside of its established channel
 - (2) Fill. a. (verb) To put into or upon, supply to, or allow to enter a water body or wetland any earth, rock, gravel, sand, silt, clay, peat, or debris; b. (noun) Material, other than structures, placed in or immediately adjacent to a wetland or water body.
 - (3) Floodplain wetlands. Freshwater wetlands that are inundated with flood water during a 100-year flood event based on flood insurance maps produced by the Federal Emergency Agency or other site specific information.
 - (4) Riprap. Rocks that are fit into place, usually without mortar, on a slope as defined in the State of Maine, Department of Transportation, Standard Specifications for Highway and Bridges, revision of April 1995.

Permit No: GP-39 Effective Date: Sept. 29, 2000 Expiration Date: Sept. 29, 2005

Applicant: General Public, State of Maine

DEPARTMENT OF THE ARMY PROGRAMMATIC GENERAL PERMIT STATE OF MAINE

The New England District of the U.S. Army Corps of Engineers hereby issues a programmatic general permit (PGP) that expedites review of minimal impact work in coastal and inland waters and wetlands within the State of Maine. Activities with minimal impacts, as specified by the terms and conditions of this general permit and on the attached DEFINITION OF CATEGORIES sheets, are either non-reporting (provided required local and state permits are received), or are reporting, to be screened by the Corps and Federal Resource Agencies for applicability under the general permit. This general permit does not affect the Corps individual permit review process or activities exempt from Corps jurisdiction.

Activities Covered: work and structures that are located in, or that affect, navigable waters of the United States (regulated by the Corps under Section 10 of the Rivers and Harbors Act of 1899) and the discharge of dredged of fill material into waters of the United States (regulated by the Corps under Section 404 of the Clean Water Act), and the transportation of dredged material for the purpose of disposal in the ocean (regulated by the Corps under Section 103 of the Marine Protection, Research and Sanctuaries Act).

PROCEDURES:

A. State Approvals

For projects authorized pursuant to this general permit that are also regulated by the State of Maine, the following state approvals are also required and must be obtained in order for this general permit authorization to be valid (applicants are responsible for ensuring that all required state permits and approval have been obtained):

- (a) Maine Department of Environmental Protection (DEP): Natural Resources Protection Act permit, including permit-by-rule and general permit authorizations; Site Location and Development Act permit; and Maine Waterway Development and Conservation Act.
- (b) Maine Department of Conservation: Land Use Regulation Commission (LURC) permit.
- (c) Maine Department of Marine Resources: Lease.
- (d) Bureau of Public Lands, Submerged Lands: Lease.

Note that projects not regulated by the State of Maine (e.g., seasonal floats or moorings) may still be authorized by this general permit.

B. Corps Authorizations: Category I (Non-Reporting)

Work in Maine subject to Corps jurisdiction that meets the definition of Category I on the attached DEFINITION OF CATEGORIES sheets and that meets all of this permit's other conditions, does not require separate application to the Corps of Engineers. If the State or the Corps does not contact the applicant for PBRs and Tier One permits during the State's Tier One 30-day review period, Corps approval may be assumed and the project may proceed. Refer to the Procedures Section at Paragraph E below for additional information regarding screening.

Note that the review thresholds under Category I apply to single and complete projects only (see special condition 5). Also note that Category I does not apply to projects occurring in a component of, or within 0.25 miles up and downstream of the main stem or tributaries of a river segment of the National Wild and Scenic River System (see condition 11, and page 9 for the listed rivers in Maine).

There are also restrictions on other national lands or concerns which must be met in order for projects to be eligible for authorization under this PGP. Refer to special conditions 6-13 under Paragraph F below.

Work that is not regulated by the State of Maine, but that is subject to Corps jurisdiction, is eligible for Corps authorization under this PGP in accordance with the review thresholds and conditions contained herein.

Although Category I projects are non-reporting, the Corps reserves the right to require screening or an individual permit review if there are concerns for the aquatic environment or any other factor of the public interest (see special condition 4 on Discretionary Authority). The Corps review or State/Federal screening process may also result in project modification, mitigation or other special conditions necessary to minimize impacts and protect the aquatic environment as a requirement for PGP approval.

C. Corps Authorization: Category II (Reporting – requiring screening)

APPLICATION PROCEDURES

For projects that do not meet the terms of Category I (see DEFINITION OF CATEGORIES sheets), the Corps, State, and Federal Resource Agencies will conduct joint screening meetings to review applications. If projects are concurrently regulated by the DEP or LURC, applicants do not need to submit separate applications to the Corps. For projects not regulated by DEP or LURC, applicants must submit an application to the Corps Maine Project Office for a case-by-case determination of eligibility under this general permit (Category II). Category II projects may not proceed until written notification is received from the Corps.

Category II projects which occur in a component of, or within 0.25 mile up or downstream of the main stem or tributaries of a river segment of the National Wild and Scenic River System, will be coordinated with the National Park Service (see special condition 11, and page 9 for listed rivers in Maine).

There are also restrictions on other national lands or concerns which must be met in order for projects to be eligible for authorization under this PGP. Refer to special conditions 6-14 under Paragraph E below.

Category II applicants shall submit a copy of their application materials to the Maine Historic Preservation Commission and/or applicable Indian tribe(s) at the same time, or before, they apply to the DEP, LURC, or the Corps so that the project can be reviewed for the presence of historic/archaeological resources in the project area that may be affected by the proposed work. Applications to the DEP or the Corps should include information to indicate that this has been done (applicant's statement or copy of cover letter to Maine Historic Preservation Commission and/or Indian tribe(s)).

The Corps may require additional information on a case-by-case basis as follows:

- (a) purpose of project;
- (b) 8 1/2" by 11" plan views of the entire property including property lines and project limits with existing and proposed conditions (legible, reproducible plans required);
- (c) wetland delineation for the site, information on the basis of the delineation, and calculations of waterway and wetland impact areas (see special condition 2);
- (d) typical cross-section views of all wetland and waterway fill areas and wetland replication areas;
- (e) delineation of submerged aquatic vegetation, e.g., eel grass beds, in tidal waters;
- (f) area, type and source of fill material to be discharged into waters and wetlands, including the volume of fill below ordinary high water in inland waters and below the high tide line in coastal waters;
- (g) mean low, mean high water and high tide elevations in navigable waters;
- (h) limits of any Federal navigation project in the vicinity and State Plane coordinates for the limits of the proposed work closest to the Federal project;
- (i) on-site alternatives analysis (contact Corps for guidance);
- (j) identify and describe potential impacts to Essential Fish Habitat (contact Corps for guidance);
- (k) for dredging projects, include:
 - 1) the volume of material and area in square feet to be dredged below mean high water,
 - 2) existing and proposed water depths.
 - 3) type of dredging equipment to be used,
 - 4) nature of material (e.g., silty sand),

- 5) any existing sediment grain size and bulk sediment chemistry data for the proposed or any nearby projects,
- 6) information on the location and nature of municipal or industrial discharges and occurrences of any contaminant spills in or near the project area,
- 7) location of the disposal site (include locus sheet),
- 8) shellfish survey, and
- 9) sediment testing, including physical, chemical and biological testing. For projects proposing open water disposal, applicants are encouraged to contact the Corps as early as possible regarding sampling and testing protocols.

The Corps may request additional information. Dredging applicants may be required to conduct a shellfish and/or eel grass survey and sediment testing, including physical, chemical and biological testing. Sediment sampling and testing plans should be prepared or approved by the Corps before the samples are collected.

STATE-FEDERAL SCREENING PROCEDURES:

The Corps intends to utilize the application information required by the State for its regulatory program to the maximum extent practicable and the Corps normally will not be interacting with an applicant who is concurrently making application to the DEP or LURC. Projects not regulated by the State, but needing Corps of Engineers approval, **must apply directly to the Corps**. The joint screening meeting for Category II projects will occur regularly at the Corps or State offices and will involve representatives from the DEP, the Corps, the U.S. Environmental Protection Agency, the U.S. Fish and Wildlife Service, and the National Marine Fisheries Service.

The Corps and Federal Resource Agencies will classify the project within the State's review period, not to exceed 60 days, as: 1) approvable under the PGP as proposed; 2) needs additional information, including possible project modification, mitigation or other special conditions to minimize impacts; or 3) exceeds the terms or conditions of the PGP, including the minimal effects requirement, and an individual permit review will be required. In addition, the Corps retains the ability to exercise its discretionary authority and require an individual permit, irrespective of whether the terms and conditions of this general permit are met, based on concerns for the aquatic environment or any factor of the public interest (see special condition 4 on Discretionary Authority). All Category II projects must receive written approval from the Corps before work can proceed. If the project is not approvable as proposed, the DEP, LURC, or the Corps will contact the applicant to discuss the concerns raised. If the applicant is unable to resolve the concerns, the Corps, independently or at the request of the Federal Resource Agencies, will require an individual permit for the project. The applicant will be notified of this in writing, along with information about submitting the necessary application materials. The comments from the Federal Resource Agencies to the Corps may be verbal initially, and must be made within 10 working days of the screening meeting. These comments must be confirmed in writing within 10 calendar days of the verbal response if the Resource Agency(ies) will request an individual permit. The Federal Resource Agency's comments must reflect a concern within their area of expertise, state the species or resources that could be impacted by the project, and describe the impacts that either individually or cumulatively will be more than minimal.

MINERALS MANAGEMENT SERVICE (MMS) REVIEW

For Category II projects which involve construction of solid fill structures or discharge of fills along the coast which may extend the coastline or baseline from which the territorial sea is measured, coordination between the Corps and Minerals Management Service (MMS), Continental Shelf (OCS) Survey Group, will be needed (pursuant to the Submerged Lands Act, 43 U.S.C., Section 1301-1315, 33 CFR 320.4(f). During the screening period, the Corps will forward project information to MMS for their review. MMS will coordinate their determination with the Department of the Interior (DOI) Solicitor's Office. The DOI will have 15 calendar days from the date MMS is in receipt of project information to determine if the baseline will be affected. No notification to the Corps within 15 day review period will constitute a "no affect" determination. Otherwise, the solicitor's notification to the Corps may be verbal but must be followed with a written confirmation within 10 business days from the date of the verbal notification. This procedure will be eliminated if the State of Maine provides a written waiver of interest in any increase in submerged lands caused by a change in the baseline resulting from solid fill structure or fills authorized under this general permit.

D. Corps Authorization: Category III (Individual Permit)

Work that is in the INDIVIDUAL PERMIT category on the attached DEFINITION OF CATEGORIES sheets, or that does not meet the terms and conditions of this general permit, will require an application for an individual permit from the Corps of Engineers (see 33 CFR Part 325.1). The screening procedures outlined above will only serve to delay project review in such cases. The applicant should submit the appropriate application materials (including the Corps application form) at the earliest possible date. General information and application forms can be obtained at (207) 623-8367 (Maine Field Office), (800) 343-4789, or (800) 362-4367 in Massachusetts. Individual water quality certification and coastal zone management consistency concurrence will be required from the State of Maine before Corps permit issuance.

E. Programmatic General Permit Conditions:

The following conditions apply to activities authorized under the PGP, including all Category I (non-reporting) and Category II (reporting – requiring screening) activities:

GENERAL REQUIREMENTS:

- 1. **Other Permits**. Authorization under this general permit does not obviate the need to obtain other Federal, state, or local authorizations required by law.
- 2. Applicability of this general permit shall be evaluated with reference to Federal jurisdictional boundaries. Applicants are responsible for ensuring that the boundaries used satisfy the federal criteria defined at 33 CFR 328-329.
- 3. **Minimal Effects**. Projects authorized by this general permit shall have minimal individual and cumulative adverse environmental impacts as determined by the Corps.

4. **Discretionary Authority**. Notwithstanding compliance with the terms and conditions of this permit, the Corps of Engineers retains discretionary authority to require review for an individual permit based on concerns for the aquatic environment or for any other factor of the public interest. This authority is invoked on a case-by-case basis whenever the Corps determines that the potential consequences of the proposal warrant individual review based on the concerns stated above. This authority may be invoked for projects with cumulative environmental impacts that are more than minimal or if there is a special resource or concern associated with a particular project that is not already covered by the remaining conditions of the PGP and that warrants greater review.

Whenever the Corps notifies an applicant that an individual permit may be required, authorization under this general permit is void and no work may be conducted until the individual Corps permit is obtained or until the Corps notifies the applicant that further review has demonstrated that the work may proceed under this general permit.

5. **Single and Complete Projects**. This general permit shall not be used for piecemeal work and shall be applied to single and complete projects. All components of a single project and/or all planned phases of multi-phased projects shall be treated together as constituting one single and complete project (e.g., subdivisions should include all work such as roads, utilities, and lot development). This general permit shall not be used for any activity that is part of an overall project for which an individual permit is required.

NATIONAL CONCERNS:

- 6. **St. John/St. Croix Rivers**. This covers work within the Saint John and Saint Croix River basins that requires approval of the International Joint Commission. This includes any temporary or permanent use, obstruction or diversion of international boundary waters which could affect the natural flow or levels of waters on the Canadian side of the line, as well as any construction or maintenance of remedial works, protective works, dams, or other obstructions in waters downstream from boundary waters when the activity could raise the natural level of water on the Canadian side of the boundary.
- 7. **Historic Properties**. Any activity authorized by this general permit shall comply with Section 106 of the National Historic Preservation Act. Information on the location and existence of historic resources can be obtained from the Maine Historic Preservation Commission and the National Register of Historic Places. Federally recognized tribes (Penobscots, Passamaquoddys, Micmacs, and Maliseets) may know of the existence of other sites that may be of significance to their tribes. See page 14 for historic properties contacts.

Applicants with projects which will undergo the screening process (Category II) shall submit a copy of their application materials, with the name and address of the applicant clearly indicated, to the Maine Historic Preservation Commission, 55 Capitol Street, State House Station 65, Augusta, Maine 04333, and to the applicable tribe(s) to be reviewed for the presence of historic and/or archaeological resources in the permit area that may be affected by the proposed work. The Corps will then be notified by the Commission and/or

Tribe within 10 days if there are State and/or tribal concerns that the proposed work will have an effect on historic resources. The applicant should include with their application to the State or the Corps either a copy of their cover letter or a statement of having sent their application material to the Commission and Tribe(s).

If the permittee, either prior to construction or during construction of the work authorized herein, encounters a previously unidentified archaeological or other cultural resource, within the area subject to Department of the Army jurisdiction, that might be eligible for listing in the National Register of Historic Places, he/she shall stop work and immediately notify the District Engineer and the Maine Historic Preservation Commission and/or applicable Tribe(s).

- 8. **National Lands**. Activities authorized by this general permit shall not impinge upon the value of any National Wildlife Refuge, National Forest, or any area administered by the National Park Service.
- 9. **Endangered Species**. No activity is authorized under this general permit which
 - may affect a threatened or endangered species or a species proposed for such designation as identified under the Federal Endangered Species Act (ESA),
 - is likely to destroy or adversely modify the critical habitat or proposed critical habitat of such species,
 - would result in a 'take' of any threatened or endangered species of fish or wildlife, or
 - would result in any other violation of Section 9 of the ESA protecting threatened or endangered species of plants.

Applicants shall notify the Corps if any listed species or critical habitat, or proposed species or critical habitat, is in the vicinity of the project and shall not begin work until notified by the District Engineer that the requirements of the Endangered Species Act have been satisfied and that the activity is authorized. Information on the location of threatened and endangered species and their critical habitat can be obtained from the U.S. Fish and Wildlife Service and National Marine Fisheries Service (addresses attached, page 14).

10. **Essential Fish Habitat**. As part of the PGP screening process, the Corps will coordinate with the National Marine Fisheries Service (NMFS) in accordance with the 1996 amendments to the Magnuson-Stevens Fishery and Conservation Management Act to protect and conserve the habitat of marine, estuarine and anadromous finfish, mollusks, and crustaceans. This habitat is termed "essential fish habitat (EFH)", and is broadly defined to include "those waters and substrate necessary to fish for spawning, breeding, feeding, or growth to maturity." Applicants may be required to describe and identify potential impacts to EFH based upon the location of the project, the activity proposed, and the species present. Conservation recommendations made by NMFS will normally be included as a permit requirement by the Corps. Information on the location of EFH can be obtained from the NMFS regulations (50 CFR Part 600) (address listed on page 14) and on their web site (http://www.nero.nmfs.gov/ro/doc/webintro.html).

The EFH designation for Atlantic salmon includes all aquatic habitats in the watershed of the following rivers and streams, including all tributaries to the extent that they are currently or were historically accessible for salmon migration:

St. Croix River Pleasant River Union River Boyden River Narraguagus River Ducktrap River Dennys River Tunk Stream Sheepscot River Hobart Stream Patten Stream Kennebec River Aroostook River Orland River Androscoggin River East Machias River Penobscot River Presumpscot River

Machias River Passagassawaukeag River Saco River

- 11. Wild and Scenic Rivers. Any activity that occurs in a component of, or within 0.25 mile up or downstream of the main stem or tributaries of a river segment of the National Wild and Scenic River System, must be reviewed by the Corps under the procedures of Category II of this general permit regardless of size of impact. This condition applies to both designated wild and scenic rivers and rivers designated by Congress as study rivers for possible inclusion while such rivers are in an official study status. The Corps will consult with the National Park Service (NPS) with regard to potential impacts of the proposed work on the resource values of the Wild and Scenic River. The culmination of this coordination will be a determination by the NPS and the Corps that the work: (1) may proceed as proposed; (2) may proceed with recommended conditions; or (3) could pose a direct and adverse effect on the resource values of the river and an individual permit is required. If preapplication consultation between the applicant and the NPS has occurred whereby the NPS has made a determination that the proposed project is appropriate for authorization under this PGP (with respect to wild and scenic river issues), this determination should be furnished to the Corps with submission of the application. The address of the NPS can be found on Page 14 of this permit. National Wild/Scenic Rivers System (Designated River in Maine) as of 5/2/00: Allagash River beginning at Telos Dam continuing to Allagash checkpoint at Eliza Hole Rapids, approximately 3 miles upstream of the confluence with the St. John River. Length = 92 miles
- 12. **Federal Navigation Project**. Any structure or work that extends closer to the horizontal limits of any Corps navigation project than a distance of three times the project's authorized depth (see attached map following page 16 for locations of these projects) shall be subject to removal at the owner's expense prior to any future Corps dredging or the performance of periodic hydrographic surveys.
- 13. **Navigation**. There shall be no unreasonable interference with navigation by the existence or use of the activity authorized herein and no attempt shall be made by the permittee to prevent the full and free use by the public of all navigable waters at or adjacent to the activity authorized herein.

The permittee understands and agrees that, if future operations by the United States require the removal, relocation, or other alteration, of the structure or work herein authorized, or if, in the opinion of the Secretary of the Army or his authorized representative, said structure

or work shall cause unreasonable obstruction to the free navigation of the navigable waters, the permittee will be required, upon due notice from the Corps of Engineers, to remove, relocate, or alter the structural work or obstructions caused thereby, without expense to the United States. No claim shall be made against the United States on account of any such removal or alteration.

14. **Federal Liability.** In issuing this permit, the Federal Government does not assume any liability for the following: (a) damages to the permitted project or uses thereof as a result of other permitted or unpermitted activities or from natural causes; (b) damages to the permitted project or uses thereof as a result of current or future activities undertaken by or on behalf of the United States in the public interest; (c) damages to persons, property, or to other permitted or unpermitted activities or structures caused by the activity authorized by this permit; (d) design or construction deficiencies associated with the permitted work; (e) damage claims associated with any future modification, suspension, or revocation of this permit.

MINIMIZATION OF ENVIRONMENTAL IMPACTS:

- 15. **Minimization**. Discharges of dredged or fill material into waters of the United States shall be avoided and minimized to the maximum extent practicable, regardless of review category.
- 16. **Work in Wetlands**. Heavy equipment working in wetlands shall be avoided if possible, and **if required, shall be placed on mats or other measures taken** to minimize soil and vegetation disturbance. Disturbed areas in wetlands shall be restored to preconstruction contours and conditions upon completion of the work.
- 17. **Temporary Fill**. Temporary fill in waters and wetlands authorized by this general permit (e.g., access roads, cofferdams) shall be properly stabilized during use to prevent erosion. Temporary fill in wetlands shall be placed on geotextile fabric laid on existing wetland grade. Temporary fills shall be disposed of at an upland site, suitably contained to prevent erosion and transport to a waterway or wetland. Temporary fill areas shall be restored to their approximate original contours but not higher. No temporary fill shall be placed in waters or wetlands unless specifically authorized by the Corps.
- 18. **Sedimentation and Erosion Control**. Adequate sedimentation and erosion control management measures, practices and devices, such as phased construction, vegetated filter strips, geotextile silt fences or other devices, shall be installed and properly maintained to reduce erosion and retain sediment on-site during and after construction. They shall be capable of preventing erosion, of collecting sediment, suspended and floating materials, and of filtering fine sediment. These devices shall be removed upon completion of work and the disturbed areas shall be stabilized. The sediment collected by these devices shall be removed and placed at an upland location in a manner that will prevent its later erosion into a waterway or wetland. All exposed soil and other fills shall be permanently stabilized at the earliest practicable date.

19. Waterway Crossings.

- (a) All temporary and permanent crossings of waterbodies shall be suitably culverted, bridged, or otherwise designed to withstand and to prevent the restriction of high flows, to maintain existing low flows, and to not obstruct the movement of aquatic life indigenous to the waterbody beyond the actual duration of construction.
- (b) Temporary bridges, culverts, or cofferdams shall be used for equipment access across streams (NOTE: areas of fill and/or cofferdams must be included in total waterway/wetlands impacts to determine applicability of this general permit).
- (c) For projects that otherwise meet the terms of Category I, instream construction work shall be conducted during the low flow period July 15 October 1 in any year. Projects that are not to be conducted during that time period are ineligible for Category I and shall be screened pursuant to Category II, regardless of the waterway and wetland fill and/or impact area.
- 20. **Discharge of Pollutants**. All activities involving any discharge of pollutants into waters of the United States authorized under this general permit shall be consistent with applicable water quality standards, effluent limitations, standards of performance, prohibitions, and pretreatment standards and management practices established pursuant to the Clean Water Act (33 U.S.C. 1251) and applicable state and local laws. If applicable water quality standards, limitations, etc., are revised or modified during the term of this permit, the authorized work shall be modified to conform with these standards within six months of the effective date of such revision or modification, or within a longer period of time deemed reasonable by the District Engineer in consultation with the Regional Administrator of the Environmental Protection Agency. Applicants may presume that state water quality standards are met with issuance of the 401 Water Quality Certification.
- 21. **Spawning Areas**. Discharges into known 1) fish and shellfish spawning or nursery areas; and 2) amphibian and waterfowl breeding areas, during spawning or breeding seasons shall be avoided, and impacts to these areas shall be avoided or minimized to the maximum extent practicable during all times of year.
- 22. **Storage of Seasonal Structures**. Coastal structures such as pier sections and floats that are removed from the waterway for a portion of the year shall be stored in an upland location located above mean high water and not in tidal marsh.
- 23. **Environmental Values**. The permittee shall make every reasonable effort to carry out the construction or operation of the work authorized herein in a manner so as to maintain as much as is practicable, and to minimize any adverse impacts on, existing fish and wildlife and natural environmental values.
- 24. **Protection of Vernal Pools.** Impacts to uplands in proximity (within 500 feet) to the vernal pools referenced in DEFINITIONS OF CATEGORIES shall be minimized to the maximum extent possible.

PROCEDURAL CONDITIONS:

- 25. **Cranberry Development Projects.** For Cranberry development projects authorized under the PGP, the following conditions apply:
 - 1. If a cranberry bog is abandoned for any reason, the area must be allowed to convert to natural wetlands unless an individual permit is obtained from the Corps of Engineers allowing the discharge of fill for an alternate use.
 - 2. No stream diversion shall be allowed under this permit.
 - 3. No impoundment of perennial streams shall be allowed under this permit.
 - 4. The project shall be designed and constructed to not cause flood damage on adjacent properties.
- 26. Inspections. The permittee shall permit the District Engineer or his authorized representative(s) to make periodic inspections at any time deemed necessary in order to ensure that the work is being performed in accordance with the terms and conditions of this permit. The District Engineer may also require post-construction engineering drawings for completed work, and post-dredging survey drawings for any dredging work. To facilitate these inspections, the attached work notification form should be filled out and returned to the Corps for all Category II projects.
- 27. **Maintenance**. The permittee shall maintain the work or structures authorized herein in good condition, including maintenance, to ensure public safety. Dredging projects: note that this does not include maintenance of dredging projects. Maintenance dredging is subject to the review thresholds described on the attached DEFINITION OF CATEGORIES sheets and/or any conditions included in a written Corps authorization.
- 28. **Property Rights**. This permit does not convey any property rights, either in real estate or material, or any exclusive privileges, nor does it authorize any injury to property or invasion of rights or any infringement of federal, state, or local laws or regulations. If property associated with work authorized by the PGP is sold, the PGP authorization is automatically transferred to the new property owner. The new property owner should provide this information to the Corps in writing. No acknowledgement from the Corps is necessary.
- 29. **Modification, Suspension, and Revocation** This permit may be either modified, suspended, or revoked, in whole or in part, pursuant to the policies and procedures of 33 CFR 325.7 and any such action shall not be the basis for any claim for damages against the United States.
- 30. **Restoration** The permittee, upon receipt of a notice of revocation of authorization under this permit, shall restore the wetland or waterway to its former condition without expense to the United States and as directed by the Secretary of the Army or his authorized representative. If the permittee fails to comply with such a directive, the Secretary or his designee may restore the wetland or waterway to its former condition, by contract or otherwise, and recover the cost from the permittee.

- 31. **Special Conditions**. The Corps, independently or at the request of the Federal Resource Agencies, may impose other special conditions on a project authorized pursuant to this general permit that are determined necessary to minimize adverse environmental effects or based on any other factor of the public interest. Failure to comply with all conditions of the authorization, including special conditions, will constitute a permit violation and may subject the permittee to criminal, civil, or administrative penalties or restoration.
- 32. **False or Incomplete Information**. If the Corps makes a determination regarding the eligibility of a project under this permit and subsequently discovers that it has relied on false, incomplete, or inaccurate information provided by the permittee, the permit shall not be valid and the government may institute appropriate legal proceedings.
- 33. **Abandonment**. If the permittee decides to abandon the activity authorized under this general permit, unless such abandonment is merely the transfer of property to a third party, he/she must restore the area to the satisfaction of the District Engineer.
- 34. **Enforcement cases**. This general permit does not apply to any existing or proposed activity in Corps jurisdiction associated with an on-going Corps of Engineers or Environmental Protection Agency enforcement action until such time as the enforcement action is resolved or the Corps determines that the activity may proceed independently without compromising the enforcement action. The Corps may choose not to accept applications or issue permits to any applicant with outstanding violations.
- 35. **Emergency situations.** This PGP can be used to authorize the repair, rehabilitation, or replacement of those structures destroyed by storms, floods, fire or other discrete unexpected and catastrophic event. In such situations and if the work exceeds Category I limitations, if applicant applies to the Corps within 30 days of the event, the Corps will attempt to contact the resource agencies for their approvals but, if unable to contact them, will issue an emergency permit and review them after-the-fact with the agencies at the next joint processing meeting. Proposed work submitted more than 30 days after the emergency will go through the standard PGP procedures.

DURATION OF AUTHORIZATION/GRANDFATHERING:

36. **Duration of Authorization** Activities authorized under this general permit that have commenced (i.e., are under construction) or are under contract to commence in reliance upon this authorization will remain authorized provided the activity is completed within twelve months of the date of the general permit's expiration, modification, or revocation, unless discretionary authority has been exercised on a case-by-case basis to modify, suspend, or revoke the authorization in accordance with 33 CFR 325.2 (e)(2). Activities completed under the authorization of the general permit that was in effect at the time the activity was completed will continue to be authorized by the general permit.

37. Previously Authorized Activities.

- (a) Activities which have commenced (i.e., are under construction or are under contract to commence) prior to the issuance date of this general permit, in reliance upon the terms and conditions of the non-reporting category of the previous Maine PGP shall remain authorized provided the activity is completed within twelve months of the date of issuance of this general permit, unless discretionary authority has been exercised on a case-by-case basis to modify, suspend, or revoke the authorization in accordance with special condition 4. The applicant must be able to document to the Corps satisfaction that the project was under construction or contract by the appropriate date.
- (b) Projects that have received written verification or approval from the Corps, based on applications made to the Corps prior to issuance of this general permit, for the previous Maine SPGP and PGP, Nationwide permits, regional general permits, or letters of permission shall remain authorized as specified in each authorization.
- (c) This general permit does not affect activities authorized pursuant to 33 CFR Part 330.3 (activities occurring before certain dates).

{PRIVATE}DISTRICT	DATE
ENGINEER	

CONTACTS FOR MAINE PROGRAMMATIC GENERAL PERMIT:

U.S. Army Corps of Engineers
Maine Project Office
675 Western Avenue #3
Manchester, Maine 04351
207-623-8367
Fax # 207-623-8206

Federal Endangered Species
U.S. Fish and Wildlife Service
Maine Field Office
1033 South Main Street
Old Town, Maine 04468
207-827-5938
Fax # 207-827-6099

Wild and Scenic Rivers
National Park Service
North Atlantic Region
15 State Street
Boston, MA 02109
617-223-5203

Maine Historic Preservation Commission 55 Capitol Street State House Station 65 Augusta, Maine 04333 207-287-2132 Fax # 207-287-2335

Aroostook Band of Micmacs
P.O. Box 772
Presque Isle, Maine 04769
207-764-1972
Fax # 207-764-7667

Passamaquoddy Tribe of Indians Pleasant Point Reservation Attn: Tribal Council P.O. Box 343 Perry, Maine 04667 207-853-2600 Fax # 207-853-6039 Federal Endangered Species and Essential Fish Habitat
National Marine Fisheries Service
One Blackburn Drive
Gloucester, Massachusetts 01939
978-281-9102
Fax # 978-281-9301

Houlton Band of Maliseet Indians
Attn: Brenda Commander, Tribal Chief
Route 3 – Box 450
Houlton, Maine 04730
207-532-4273
Fax # 207-532-2660

Passamaquoddy Tribe of Indians
Indian Township Reservation
Attn: Donald Soctomah
P.O. Box 301
Princeton, Maine 04668
207-796-2301
Fax # 207-796-5256

Penobscot Indian Nation Richard Hamilton, Chief 6 River Road Indian Island Reservation Old Town, Maine 04468 (207) 827-7776 Fax # 207-827-1137

Maine Department of Environmental Protection (For State Permits and Water Quality Certifications)

Natural Resources Division Bureau of Land and Water Quality Control State House Station 17 Augusta, Maine 04333 207-287-2111

Eastern Maine Regional Office 106 Hogan Road Bangor, Maine 04401 207-941-4570

Maine Land Use Regulation Commission (LURC) offices

22 State House Station
Augusta, ME 04333-0022
207-287-2631
800-452-8711 (call to obtain appropriate LURC office)
Fax # 207-287-7439

Lakeview Drive P.O. Box 1107 Greenville, ME 04441 207-695-2466 Fax # 207-695-2380

(For CZM Determinations)
State Planning Office
Coastal Program
184 State Street
State House Station 38
Augusta, Maine 04333
207-287-1009

(For Submerged Lands Leases)
Maine Department of Conservation
Bureau of Parks and Lands
22 State House Station
207-287-3061

Southern Maine Regional Office 312 Canco Road Portland, Maine 04103 201-822-6300

Northern Maine Regional Office 1235 Central Drive Skyway Park Presque Isle, Maine 04769 207-764-0477

45 Radar Road Ashland, ME 04732-3600 207-435-7963 Fax # 207-435-7184

191 Main Street East Millinocket, ME 04430 207-746-2244 Fax # 207-746-2243

Maine Department of Marine Resources (For Aquaculture Leases) McKown Point Boothbay Harbor, Maine 04575 207-633-9500

A. INLAND WETLANDS	CATEGORY I	CATEGORY II	INDIVIDUAL
(WATERS OF THE U.S.) ¹			
(a) NEW FILL/	Less than 4,300 sf inland waterway and/or	4,300 sf to 3 acres inland waterway	Greater than 3 acres inland
EXCAVATION	wetland fill and secondary impacts (e.g.,	and/or wetland fill and secondary impacts	waterway and/or wetland fill and
DISCHARGES	areas drained, flooded or cleared).	(e.g., areas drained, flooded or cleared).	secondary impacts (e.g., areas
	Includes projects covered by a State Tier	Impact area includes all temporary and	drained, flooded or cleared).
	One permit with no cumulative impacts over	permanent fill and excavation discharges	Impact area includes all
	15,000 sf in inland wetlands from previous	except for incidental fallback.	temporary and permanent fill and
	permits, unauthorized work, and/or other	Includes in-stream work, including	excavation discharges except f
	state permits.	crossings (other than spanned crossing as	incidental fallback ³ .
	Includes crossing of perennial waterways	described in Category I) with any	
	designated as Essential Fish Habitat (EFH)	discharge of fill below ordinary high	In-stream work exceeding
	for Atlantic salmon ² if the waterway is	water in perennial waterways designated	Category II limits.
	crossed with a span and footprints of the	as EFH for Atlantic salmon ² .	
	span abutments are outside ordinary high	Time of year restrictions determined	If EIS required by the Corps.
	water with no more than 4,300 sf of	case-by-case.	* A STATE OF THE S
	associated wetland impact.		
	Includes in-stream work of up to 4,300 sf		
	of fill below ordinary high water in		
	waterways not designated as EFH for		
	Atlantic salmon ² and performed in		
	accordance with Maine Permit By Rule		
	standards or a LURC permit.		

Waters of the U.S. in inland areas: inland rivers, streams, lakes, ponds and wetlands.

Essential Fish Habitat for Atlantic salmon includes all aquatic habitats in the watersheds of the following rivers and streams, including all tributaries to the extent that they are currently or were historically accessible for salmon migration: St. Croix, Boyden, Dennys, Hobart Stream, Aroostook, East Machias, Machias, Pleasant, Narraguagus, Tunk Stream, Patten Stream, Orland, Penobscot, Passagassawaukeag, Union, Ducktrap, Sheepscot, Kennebec, Androscoggin, Presumpscot, and Saco River.

The larger the impacts, the more likely an individual permit will be required. Projects involving widening, expansion or impacts to degraded or low valuewetlands between 1-3 acres may be approved under Category II, subject to the Federal screening. The Corps recognizes and endorses the DEP Tier 2 upper thresholds of 1 acre. Compensatory mitigation is likely to be required at this level of impact.

	CATEGORYI	CATEGORY II	INDIVIDUAL PERMIT
(a) NEW FILL/	Impact area includes all temporary and	Proactive restoration projects with any	
EXCAVATION	permanent fill and excavation discharges	amount of impact can be reviewed under	
(continued)	In-stream work limited to Inly 15-Oct 1	with State and Federal agencies must	
`	This category excludes situations when	determine that net adverse effects are not	
	a vernal pool of any size may be	more than minimal.	
	impacted, in accordance with the ME		
	DEP definition of vernal pool ⁴ .		
	This category excludes work within 1/4		
	mile of a Wild and Scenic River ⁵ .		
	This category excludes dams, dikes, or		
	activities involving water withdrawal or		
	water diversion.		
	This category excludes work in		
	National Wildlife Refuges.		
(b) BANK	Inland bank stabilization less than 500 ft.	Inland bank stabilization in ponds, lakes,	
STABILIZATION	long and less than 1 cy fill per linear foot	and waterways not designated as EFH for	
PROJECTS	below ordinary high water in ponds, lakes,	Atlantic salmon ² which exceeds Category	
	and waterways not designated as EFH for	I limits.	
	Atlantic Salmon ² , provided there is no	Inland bank stabilization of any size	
	wetland fill.	below ordinary high water in waterways	
	In-stream work limited to July 15-	designed as EFH for Atlantic salmon ² .	
	October 1.	Other stabilization exceeding Category I.	
(c) REPAIR AND	Repair or maintenance of existing,	Replacement of non-serviceable fills, or	Replacement of non-serviceable
MAINTENANCE	currently serviceable, authorized fills with	repair or maintenance of serviceable fills	fills, or repair or maintenance of
OF AUTHORIZED	no substantial expansion or change in use.	with expansion of any amount up to 1	serviceable fills with greater than
FILLS		acre, or with a change in use.	1 acre of expansion.

wildlife including several endangered and threatened species. Vernal pools provide the primary breeding habitat for wood frogs, spotted salamanders, blue-spotted salamanders, and fairy shrimp, and provide habitat for other shallow depressions that fill during the spring and fall and may dry during the summer. Vernal pools have no permanent or viable populations of predatory fish. ⁴ Vernal Pool: Naturally-occurring, or intentionally created for the purposes of compensatory mitigation, temporary to permanent bodies of water occurring in

Rapids, approximately 3 miles upstream of the confluence with the St. John River. Length = 92 miles SHEET 2 OF 5 ⁵ National Wild/Scenic Rivers System (Designated River in Maine): Allagash River beginning at Telos Dam continuing to Allagash checkpoint at Eliza Hole

WATERS AND	CATEGORY I	CATEGORY II	INDIVIDUAL PERMIT
NAVIGABLE			
WATERS ⁶			
(a) FILL		Up to 1 acre waterway or wetland fill	Greater than 1 acre waterway fill
		and secondary impacts (e.g., areas	and secondary impacts (e.g., areas
		drained, flooded or cleared). Includes	drained, flooded or cleared).
		temporary and permanent waterway	Includes temporary and permanent
		fill.	waterway fill.
		Temporary tidal marsh impacts up to 1	Temporary tidal marsh impacts
		acre.	over I acre.
		Permanent tidal marsh, mudflat, or	Permanent tidal marsh, mudflat,
		vegetated shallows fill up to 1,000 sf.	or vegetated shallows ⁶ fill over
		Proactive restoration projects with	1,000 sf.
		any amount of impact can be reviewed	
		under Cat. II. The Corps, in	
		consultation with State and Federal	
		agencies, must determine that net	
		adverse effects are not more than	
		minimal.	
(b) REPAIR AND	Repair or maintenance of existing,	Repair or replacement of any non-	Replacement of non-serviceable
MAINTENANCE	currently serviceable, authorized structure	serviceable structure or fill, or repair or	structures or fill or repair or
WORK	or fill with no substantial expansion or	maintenance of serviceable fills, with	maintenance of serviceable
	change in use.	expansion of any amount up to 1 acre,	structures or fill with expansion
	Work must be in same footprint as	or with a change in use.	greater than 1 acre.
	original structure or fill.		

⁶ Navigable Waters: waters that are subject to the ebb and flow of the tide and Federally designated navigable waters (Penobscott River to Medway, Kennebec River to Moosehead Lake, and the portion of Umbagog Lake in Maine).

⁷ Vegetated Shallows: subtidal areas that support rooted aquatic vegetation such as eelgrass.

SHEET 3 OF 5

	CATEGORY I	CATEGORY II	INDIVIDUAL PERMIT
(c) DREDGING	Maintenance dredging of less than 1,000 cy with upland disposal.	Maintenance dredging of greater than 1,000 cy, new dredging of up to 25,000	Maintenance dredging (any amount) in or affecting special aquatic sites ⁷ .
	Proper siltation controls used	cy, or projects that do not meet	See B(a) above for dredge disposal in wetlands or waters
	and January 15	open water or beach nourishment	III WCIIIILUS OI WARVIS.
	No impact to special aquatic sites ⁸ .	(above mean high water), only if	New dredging greater than 25,000
		material is determined suitable.	cy or any amount in or affecting special aquatic sites?
(d) MOORINGS	Private, non-commercial, non-rental	Moorings that do not meet the terms of	Moorings within the horizontal
	single boat moorings not associated with	Category I (e.g., rental or service	limits, or with moored vessels that
	any boating facility provided not located	moorings) and moorings that meet the	extend, into the horizontal limits of
	in a Federal Navigation Project, there is	terms of Category I that are located in a	a Federal Navigation Project,
	no interference with navigation, it is not	Federal anchorage.	except those in Federal anchorages
	located in vegetated shallows ⁶ , and it is		under Category II.
	within 1/4 mile of the owner's residence or		
	Minor relocation of previously		
	authorized moorings and moored floats		
	consistent with Harbormaster		
	recommendations, provided it is also		
	consistent with local regulations, is not		
	located in vegetated shallows, and does		
	not interfere with navigation.		

Special Aquatic Sites: include wetlands and salt marsh, mudflats, riffles and pools, and vegetated shallows.
 Boating Facilities: facilities that provide, rent, or sell mooring space, such as marinas, yacht, clubs, boat clubs, boat yards, town facilities, dockominiums, etc.
 Cannot be at a remote location to create a convenient transient anchorage.
 SHEET 4 OF 5

		This category excludes work in National Wildlife Refuses	-
		and fills for roads or construction pads.	
		Does <u>not</u> include oil or gas exploration	
		projects ¹¹	
		Shellfish seeding (brushing the flats)	
	or as revised.	drilling, surveying or sampling.	
	standard permit conditions dated 7/6/94,	survey activities such as exploratory	
	revised standard siting requirements and	Scientific measurement devices and	
	which are consistent with the Corps	(as defined by 33 CFR 330, App. A-4)	
	salmon), or other aquaculture facilities	Fish/wildlife harvesting structures/fill	
	Shellfish/finfish (other than Atlantic	or fill.	
	fills/abutments, etc.	Oil spill clean-up temporary structures	
	outfalls, boat ramps, bridge	navigation.	
	lines, aerial transmission lines, pipelines,	Coast Guard approved aids to	
	Includes, but is not limited to, utility	after use is discontinued.	
	under any of the previous headings.	provided they are removed within 30 days	
	or navigable waters that are not defined	for recreational use during specific events,	MISCELLANEOUS
If EIS required by Corps.	Structures or work in or affecting tidal	Temporary buoys, markers, floats, etc.,	(f)
previously unauthorized boating facility ⁸ .			
associated with a new or			
including piers and floats,		additional slips or expansion allowed.	
Navigation Project. Structures,		off substrate at low tide. No dredging,	
horizontal limits of a Federal		marsh and provided floats are supported	AND FLOATS
vessels that extend, into the	permanent).	positioned over vegetated shallows ⁶ or salt	STRUCTURES
extend, or with docked/moored	access to waterway (seasonal and	docks, provided structures are not	SUPPORTED
Structures, piers or floats that	Private piers and floats for navigational	Reconfiguration of existing authorized	(e) PILE-
INDIVIDUAL PERMIT	CATEGORY II	CATEGORY I	

Brushing the flats: the placement of tree boughs, wooden lath structures, or small-mesh fencing on mudflats for the purpose of enhancing recruitment of soft-shell clams (Mya arenaria).

WORK START NOTIFICATION FORM

(Minimum Notice: Two Weeks before Work Begins)

MAIL TO: U.S. Army Corps of Engineers, New England District

Regulatory Branch

Policy Analysis/Technical Support Section

696 Virginia Road

Concord, Massachusetts 01742-2751

permittee to	was issued to the permittee. The permit authorized the
PLEASE PRINT OR TYPE	
Business Address:	
Telephone Number: () Proposed Work Dates: Start:	
PERMITTEE'S SIGNATURE:	DATE:
PRINTED NAME:	TITLE:
FOR USE BY THE CORPS OF ENGINEERS	
PM:	Submittals Required:
Inspection Recommendation:	

(Minimum Notice: Two Weeks Before Mitigation Work Begins) *********************************** U.S Army Corps of Engineers, New England District MAIL TO: Regulatory Branch Policy Analysis/Technical Support Section 696 Virginia Road Concord, Massachusetts 01742-2751 ************************* Corps of Engineers Permit No. () was issued to [insert name of permittee]. The permit authorized the permittee to [insert brief description of the authorized work and location]. The permit required compensatory mitigation. [Briefly describe the requirements, including, if applicable, submitting a final mitigation plan and monitoring reports.] Those listed below will do the mitigation, including monitoring and remediation if required. They understand the requirements of the permit and the mitigation and monitoring plan. PLEASE PRINT OR TYPE Environmental Mitigation Consultant/Scientist Contractor Name of Person/Firm: **Business Address:** Telephone Number: ()_____ ()____ Proposed Mitigation Work Dates: Start _____ Finish_ PERMITTEE'S SIGNATURE: DATE: PRINTED NAME: _____ TITLE: ____

Corps PMs:

MITIGATION WORK-START NOTIFICATION FORM